Substitute for form 1449/PTO Page 1 of 45 Application Number: 10/653,039 Filing Date: 08-29-2003 First Named Inventor: Bennett Art Unit: 2626

Examiner Initials	<u>Cite</u> <u>Number</u>	Non-Patent Literature
	1	COFFMAN, DANIEL, et al., Provisional App 60-117,595, 111 pages, 01/27/1999
	2	COFFMAN, DANIEL, et al., Provisional App 60-102,957, 88 pages, 10/02/1998
	3	WOOTERS, CHARLES, Lexical Modeling in a Speaker Independent Speech Understanding System, International Computer Science Institute, 20 pages, 11/1993
	4	WARD, WAYNE, The CMU Air Travel Information Service: Understanding Spontaneous Speech, School of Computer Science Carnegie Mellon University, 3 pages, 1990
	5	DENTON, J.S., Final Report on Speech Recognition Research December 1984 to April 1990, School of Computer Science Carnegie Mellon University, 70 pages, 07/23/1992
	6	ALLEN, JAMES, et al., A Robust System for Natural Spoken Dialogue, Proceedings of 34th Annual Meeting of the ACL, 10 pages, 06/18/1996
	7	RAHIM, MAZIN, et al. W99 A Spoken Dialogue System for the ASRU'99 Workshop, AT&T Labs 4 pages, 1999
	8	INTERNAL PUBLICATION, Call for Papers, 1999 IEEE Automatic Speech Recognition and Understanding workshop,2 pages, 12/12-15/1999
	9	WARD, WAYNE et al., The CU Communicator System, Center for Spoken Language Research University of Colorado Boulder, 4 pages, 1999
	10	PECKHAM, JEREMY, Speech Understanding and Dialogue over the telephone: an overview of the ESPRIT SUNDIAL project, Logica, 14 pages, September 24-26, 1991
	11	NEUMEYER, LEONARDO, SRI International Speech Technology and Research (STAR) Laboratory Announces Speech-Enabled Web Access, Speech Technology and Research Laboratory, 2 pages, 06/27/1996
	12	MURVEIT, HY, et al., Speech Recognition in SRI's Resource Management and ATIS Systems, SRI International, 7 pages, 1991
	13	DIGALAKIS, V. et al., Genones: Generalized Mixture Tying in Continuous Hidden Markov Model-Based Speech Recognizers, Technical University of Crete and Nuance Communications, 28 pages, 12/06/1995
	14	JACKSON, ERIC, et al., A Template Matcher for Robust NL Interpretation SRI International, 5 pages, 1991
	15	RABINER, LAWRENCE, Applications of Speech Recognition in the Area of Telecommunications, AT&T Labs, 10 pages, 1997
	16	DAVID GODDEAU, et al., Galaxy: A Human-Language Interface To On-Line

Substitute for form 1449/PTO Page 2 of 45 Application Number: 10/653,039 Filing Date: 08-29-2003 First Named Inventor: Bennett Art Unit: 2626 Examiner Name: Martin Lerner

	Travel Information, Spoken Language Systems Group MIT Lab for Computer
	Science, 4 pages, September 18-22, 1994
17	VICTOR ZUE, et al., The Voyager Speech Understanding System:
	Preliminary Development and Evaluation Spoken Language Systems Group
	MIT Lab for Computer Science, 4 pages, 1990
18	LEE, STEVEN, et al., Real-time Probabilistic Segmentation For Segment-
	based Speech Recognition, Spoken Language Systems Group MIT Lab for
	Computer Science, 4 pages, 1998
19	SENEFF,STEPHANIE, et al., Organization, Communication, and Control in
	the Galaxy-II Conversational System Spoken Language Systems Group MIT
	Lab for Computer Science, 4 pages, 1999
20	GLASS, JAMES, Challenges for Spoken Dialogue Systems, Spoken
	Language Systems Group MIT Lab for Computer Science, 10 pages, 1999
21	ZUE, VICTOR, Toward Systems that Understand Spoken Language, ARPA
	Strategic Computing Initiative 9 pages, 02/1994
22	ZUE, VICTOR, Human Computer Interactions Using Language Based
	Technology, 1994 International Symposium on Speech, Image Processing
	and Neural Networks,7 pages,04/13/1994
23	MENG, HELEN, Wheels: A Controversial System in the Automobile
	Classifieds Domain, Spoken Language Systems Group MIT Lab for Computer
	Science, 4 pages, 1996
24	SENEFF, STEPHANIE, et al., A New Restaurant Guide Conversational
	System: Issues in Rapid Prototyping for Specialized Domains, Spoken
	Language Systems Group MIT Lab for Computer Science, 5 pages, 1996
25	SENEFF, STEPHANIE, Dialogue Management in the Mercury Flight
	Reservation System, Spoken Language Systems Group MIT Lab for
	Computer Science, 6 pages, May 2000
26	WARD, WAYNE, Recent Improvements in the CMU Spoken Language
	Understanding System, School of Computer Science, Carnegie Mellon
	University, 4 pages, undated
27	TAYLOR, DENNIS, E-Trade calls on voice-recognition system, The Business
	Journal, 3 pages, 12/22/1997
28	INTERNAL PUBLICATION – DATASHEET, Intel Dialogic Voice Boards, Intel,
	18 pages, 03/12/1997
 29	BUSINESS AND HIGH TECH EDITORS, Mapquest.com and SpeechWorks
23	to Develop First Speech Recognition Application for Accessing Driving
	Directions Over the Phone Business Wire, 3 pages, 09/13/1999
30	NUANCE, Nuance Speech Recognition System Version 6, Nuance
30	Developer's Manual, 406 pages, 1997
	Developer 3 interrudi, 400 pages, 1331

Substitute for form 1449/PTO Page 3 of 45 Application Number: 10/653,039 Filing Date: 08-29-2003 First Named Inventor: Bennett Art Unit: 2626 Examiner Name: Martin Lerner

	31	DOUGHERTY, BRUCE, Charles Schwab and Nuance Communications
		Redefine Telephone-based Customer Information Services Nuance
		Communications, 2 pages, September 1996
	32	LAMEL, L. et al., The LIMSI ARISE System, Spoken Language Processing
		Group, 6 pages, 1996
	33	INTERNAL DOCUMENT, Speech Processing, Transmission and Quality
		aspects, ETSI Standard, 20 pages, 2000
	34	INTERNAL DOCUMENT, Work Programme, ETSI Standard, 1 page,
		07/27/2008
	35	ROBINS, MARC, Speaking in Tongues: Speech Recognition Goes
		Mainstream Voice Processing Magazine, 9 pages, 04/1994
	36	INTERNAL DOCUMENT, Implementation of New Technologies - Applications
		and Human Considerations, AVIOS '91, 41 pages, 1991
	37	LEE, KAI FU, et al. The Spoken Word, Byte Magazine, 7 pages, 07/1990
	38	MEISEL, WILLIAM, Adding Intelligence in Speech Recognition, Speech
		Systems Incorporated,11 pages, June 1987
	39	COLE, RON, Computer Speech Recognition Past, Present and Future A
		gentle introduction to the field Oregon Graduate Institute of Science and
		Technology, 20 pages, undated
	40	PATTY PRICE et al., Spoken Language System Integration and
		Development, SRI International, 8 pages, 10/27/1990
	41	CUDDY, DAVID et al., Using Speech Recognition for Automation of Alternate
		Billed Calls Northern Telecom and Bell-Northern Research, 12 pages,
		undated
	42	HUNT, ALAN, Technology and Applications of Telephone-Network-Based
		Speech Recognition and Speaker Verification, Voice Control Systems, 14
		pages, undated
	43	KINDER, KEVIN et al., Accessing Telephone Services Using Speech
		Recognition: Results From Two Field Trials, 7 pages, undated
	44	ANGLADE, YOLANDE et al., TOBIE-SOL: A Conversational System for a
		Telephone Switchboard Operator Center, Proceedings of the 2nd European
		Conference on Speech Communication and Technology, 17 pages, undated
	45	CARLSON, ROLF, Recent Developments in The Experimental "Waxholm"
		Dialog System, Department of Speech Communication and Music Acoustics,
		KTH, 6 pages, 1994
	46	LEONARD, R., Use of Speech Recognition in a Client-Server Architecture,
		MCI Telecommunications Corporation, 6 pages, undated
	47	BOUNDS, ANITA, Speech Recognition in Travel Services, Advanced
		Technology Group American Express Company, 8 pages, undated

Substitute for form 1449/PTO
Page 4 of 45
Application Number: 10/653,039
Filing Date: 08-29-2003
First Named Inventor: Bennett
Art Unit: 2626
Examiner Name: Martin Lerner

48	SMITH, GRAEME, Development Tools for Speech Recognition, BBN HARK
 49	Systems Corporation, 9 pages, 1993 YANKELOVICH, NICOLE et al., SpeechActs: A Framework for Building
49	
	Speech Applications, Sun Microsystems Laboratories, Inc., 10 pages, undated
 50	BASSON, SARA et al., Establishing Speech Technology Requirements: The
30	Money Talks Field Trial, Speech Recognition and Language Understanding
	Services, 6 pages, undated
51	SMITH, GRAEME, Customer Focused Multimedia and the Evolution of the
"	Call Center, BBN HARK Systems Corporation, 7 pages, undated
52	MEISEL, WILLIAM, Straight Talk about Speech Recognition, Business
J 52	Communications Review, 5 pages, 08/1996
53	LEA, WAYNE et al., Designing User-Satisfying Voice Interfaces for Spoken
	Language Systems, Speech Science Institute et al., 12 pages, undated
54	KABRE, HAROUNA, ECHO: a Speech Input/Output System for the Design of
	Interactive Speech-based Applications, CLIPS-IMAG Laboratory Joseph
	Fourier University, 10 pages, undated
55	MARTIN, PAUL et al., SpeechActs: A Spoken-Language Framework,
	Computer Journal, 8 pages, 07/1996
56	INTERNAL DOCUMENT, Automated Speech Recognition (ASR) Tutorial, 36
	pages, 01/10/1996
57	JASPERSOHN, GARY, The Next Generation Call Center: Speech Enabled
	Systems, PureSpeech Incorporated, 13 pages, undated
58	MIKKILINENI, R.P. et al., Natural Language Interface An Application to
	Credit Card Services Lucent Technologies Inc., 5 pages, undated
59	INTERNAL DOCUMENT, New version of Unisys speech understanding
	software, Speech Recognition Update, 2 pages, 04/1997
60	WANG, HSIEN CHANG et al., A Telephone Number Inquiry System with
	Dialog Structure, Institute of Information Engineering, National Cheng-Kung
	University, 4 pages, May 1998
 61_	Commercial Speech Recognition, 10 pages, 04/17/1999
62	HOLLMAN, LEE, IVR Systems Talk Back: The Speech Recognition
	Revolution, CallCenter Journal, 7 pages, 11/1999
63	SCHOLZ, BILL, The Natural Language Interpretation of Speech Recognizer
	Output, Unisys Corporation, 31 pages, 1999
64	MANGOLD, HELMUT, Chair, Collection of Abstracts from Systems,
	architectures, interfaces, Eurospeech'99, 9 pages, 1999
65	RODRIGUEZ, L.J. et al., An Integrated System for Spanish CSR Tasks,
	Universidad del Pais Vasco, 4 pages, 1999

Substitute for form 1449/PTO Page 5 of 45 Application Number: 10/653,039 Filing Date: 08-29-2003 First Named Inventor: Bennett Art Unit: 2626 Examiner Name: Martin Lerner

66	BILLA, J. et al, Recent Experiments in Large Vocabulary Conversational Speech Recognition, BBN Technologies, 4 pages, 1999
67	JEANRENAUD, et al., A Multimodal, Multilingual Telephone Application: The
"	Wildfire Electronic Assistant, Wildfire Communications Inc., 4 pages,
	September 5-9, 1999
68	KAMM, CANDACE et al., The role of speech processing in human-computer
00	
	intelligent communication, Speech Communication Journal, 15 pages, 08/22/1997
69	HASTINGS, JOHN, Design and Implementation of a Speech Recognition
	Database Query System, Department of Computer Science The University of
	Wyoming, 55 pages, 08/1991
70	ZHANG, WEIQI et al., The Study on Distributed Speech Recognition System,
	Intel Architecture Development Lab, 4 pages, June 2000
71	KOUMPIS, KONSTANTINOS et al., Corporate Activities in Speech
	Recognition and Natural Language: Another "New-Science" - based
	Technology, Dept of Computer Science University of Sheffield, 34 pages,
	September 1999
72	SUTTON, STEPHEN et al., Universal Speech Tools: The CSLU Toolkit,
	Center for Spoken Language Understanding, Oregon Graduate Institute et
	al., 4 pages, 1998
73	MOORE, ROBERT, Using Natural-Language Knowledge Sources in Speech
	Recognition, Microsoft Research, 23 pages, 1999
74	YOUNG, STEVE et al., The HTK Book, Microsoft Corporation, 368 pages,
	12/1995
75	LUNATI, JEAN MICHEL et al., The design of a spoken language interface,
	School of Computer Science Carnegie Mellon University, 5 pages, 1990
76	BOBROW, R. et al., Syntactic and Semantic Knowledge in a Unification
	Grammar, BBN Systems and Technologies Inc., 1 page, undated
77	PATERAS, CLAUDIA et al., A Mixed-Initiative Natural Dialogue System for
	Conference Room Reservation, Nortel Networks, 4 pages, 1998
78	LUPERFOY, SUSANN et al., An Architecture for Dialogue Management,
	Context Tracking, and Pragmatic Adaptation in Spoken Dialogue Systems,
	The MITRE Corporation, 8 pages, 1998
79	TRAUM, DAVID, Conversational Agency: The Trains-93 Dialogue Manager,
	Universite de Geneve, 11 pages, 1996
80	YOUNG, SHERYL et al., High Level Knowledge Sources in Usable Speech
	Recognition Systems, Communications of the ACM, 12 pages, 02/1989
81	GUIDE, Dialogic Corporation, Dialogic Products and Services Guide, 16
	pages, undated
	I pages, anadre

Substitute for form 1449/PTO Page 6 of 45 Application Number: 10/653,039 Filing Date: 08-29-2003 First Named Inventor: Bennett Art Unit: 2626 Examiner Name: Martin Lerner

82	YOUNG, STEVE, Large Vocabulary Continuous Speech Recognition: a Review, Cambridge University Engineering Department, 23 pages, 04/08/1996
83	KISS, IMRE et al., Robust Feature Vector Compression Algorithm for
	Distributed Speech Recognition, Nokia Research Center, 5 pages,
	September 5-9, 1999
84	AUSTIN, STEVE et al., Toward a Real-Time Spoken Language System Using
	Commercial Hardware, BBN Systems and Technologies Inc., 6 pages, 1990
85	STOLZLE, A. et al., Hardware for Hidden Markov Model Based Large
	Vocabulary Real Time Speech Recognition, UC Berkeley, 7 pages,
	06/15/1990
86	WILPON, JAY et al., Speech Recognition in the AT&T Telephone Network,
	AT&T Bell Laboratories, 11 pages, 1990
87	GLASS, JAMES et al., Real-Time Telephone Based Speech Recognition in
	the Jupiter Domain, MIT Spoken Language Systems Group, 4 pages, 1999
88	HEMPHILL, CHARLES et al., Accessing Information by Voice on the World
	Wide Web, Texas Instruments and Stanford University, 6 pages,1996
89	SUAREZ, RUTH et al., UNISYS and MediaSoft Team for Natural
	Understanding, Voice Technology and Services News, 1 page, 02/18/1997
90	SCHWARTZ, RICH, Chair, CSR Search, 1 page, March 11, 1994
91	BUSINESSWEEK, Cool Applications that Listen to What You Say, Business
	Week Special Report, 2 pages, 02/13/1998
92	BARCHARD, RICHARD, Speech Comes to the Call Center, Application
	Development Journal, 6 pages, 04/1998
93	TU, ZHEMIN et al., Speech Recognition over the Internet using
	JAVA, University of Arkansas at Little Rock, 4 pages, March, 1999
94	BAYER, SAMUEL, Embedding Speech in Web Interfaces, The MITRE
	Corporation, 4 pages, 1996
95	GODDEAU, DAVID et al., Deploying Speech Applications over the Web,
	Digital Equipment Corporation, 4 pages, 1997
96	HOUSE, DAVID, Spoken-Language Access to Multimedia (SLAM): A
	Multimodal Interface to the World-Wide Web, Oregon Graduate Institute, 39
	pages, 1995
97	INTERNAL PUBLICATION, InterVoice System Product Description Manual,
	InterVoice, 7 pages, 04/15/1995
98	INTERNAL PUBLICATION, OSCAR Feature Documentation, Periphonics, 22
	pages, 09/15/1995
99	INTERNAL PUBLICATION, VRNA 2000 Product Description, Periphonics, 36
	pages, 04/29/1993

Substitute for form 1449/PTO Page 7 of 45 Application Number: 10/653,039 Filing Date: 08-29-2003 First Named Inventor: Bennett Art Unit: 2626 Examiner Name: Martin Lerner

100	INTERNAL PUBLICATION, VPS/VAS Model 7XXX/9XXX Product
	Description, Periphonics, 48 pages, 01/28/1994
101	INTERNAL PUBLICATION, VPS/sp Model 7XXX/9XXX Product Description,
	Periphonics, 52 pages, 04/04/1994
102	INTERNAL PUBLICATION, Company Background, ALTech, 4 pages,
	08/31/1998
103	RICHMAN, LAUREN, ASRNews, ALTech, 1 page, 08/1998
104	INTERNAL PUBLICATION, Demos, ALTech Free Stock Service, 2 pages,
	07/16/1997
105	INTERNAL PUBLICATION, ALTech Partners and Solutions, ALTech, 3
	pages, 12/18/1997
106	INTERNAL PUBLICATION, Enabling Software Technologies for Antares,
	Dialogic, 3 pages,03/12/1997
107	PRESS RELEASE, ALTech's SpeechWorks System Brings Speech-Enabled
	Yellow Pages to BellSouth Customers, ALTech, 2 pages, January 20, 1998
108	INTERNAL PUBLICATION, Dialogic D/41 ESC, Dialogic, 10 pages, 2002
109	TAYLOR, DENNIS, E-Trade calls on voice-recognition system, The Business
	Journal, 3 pages, 12/22/1997
110	PRESS RELEASE, ALTech and InterVoice Combine to Develop E*TRADE's
	Speech-Enabled Telephone Investing, ALTech, 3 pages,12/08/1997
111	INTERNAL PUBLICATION, DialogModules: The Key to Rapid Systems
	Development, ALTech, 2 pages, 01/09/1998
112	INTERNAL PUBLICATION, SpeechWorks in Brokerage: A Broad Spectrum of
	Applications, ALTech, 3 pages, 01/09/1998
113	INTERNAL PUBLICATION, SpeechWorks in Brokerage: Solution Services,
	ALTech, 2 pages, 01/09/1998
114	INTERNAL PUBLICATION, SpeechWorks in Brokerage: Demonstrations,
	ALTech, 2 pages, 01/09/1998
115	INTERNAL PUBLICATION, Springware, Dialogic, 7 pages, 03/26/1998
116	DATASHEET, Intel Dialogic D/240PCI-T1, D/240SC=T1, D/300PCI-E1, and
	D/300SC-E1 Voiice Boards, Intel, 18 pages, 1998-2004
117	WEBPAGE, CU Communicator Spoken Dialog System, The Center for
	Spoken Language Research, 6 pages, June 1999
118	PRODUCT DESCRIPTION, Computerfone "The Intelligent Voice," Suncoast
	Systems, Inc., 4 pages, undated
119	FURUI, SADAOKI, Automatic Speech Recognition and its Application to
	Information Extraction, Tokyo Institute of Technology, 10 pages, 1999
120	CHEYER, ADAM et al., Using a Community of Distributed Electronic Agents
	to Support a Highly Mobile, Ambient Computing Environment, Provisional

Substitute for form 1449/PTO Page 8 of 45 Application Number: 10/653,039 Filing Date: 08-29-2003 First Named Inventor: Bennett Art Unit: 2626 Examiner Name: Martin Lerner

	Patent 60-124718, 14 pages, 03/17/1999
121	CHEYER, ADAM et al., Using a Community of Distributed Electronic Agents
121	to Dynamically Monitor and Support the Negotiation of Electronic
	Transactions, Provisional Patent 60-124719, 21 pages, 03/17/1999
122	
122	CHEYER, ADAM, An "Invisible" User Interface Providing a High Degree of
	Integration Across Multiple Applications in a Personal Computer Environment,
 100	Provisional Patent 60-124720, 9 pages, 03/17/1999
123	WOODLAND, PHIL et al., Cued HTK Large Vocabulary Recognition Systems,
101	HTK, 3 pages, 09/2000
124	CLARKSON, PHILIP et al., Statistical Language Modeling Using the CMU-
	Cambridge Toolkit, Cambridge University Engineering Department, 4 pages, 1997
125	HAIN, T. et al., The 1998 HTK System for Transcription of Conversational
	Telephone Speech, Cambridge University Engineering Department, 4 pages,
	1999
126	WOODLAND, et al., HTK Speech Recognition Toolkit, HTK, 3 pages, 9/2000
127	ODELL, J. et al., The CUHTK-Entropic 10xRT Broadcast News Transcription
	System, Entropic Ltd et al., 5 pages, 1998
128	WOODLAND, PHIL et al., The HTK Large Vocabulary Recognition System for
	the 1995 ARPA H3 Task, Cambridge University Engineering Dept, 6 pages,
	1995
129	WOODLAND, PHIL et al., The Development of the 1996 HTK Broadcast
	News Transcription System, Cambridge University Engineering Dept, 6
	pages, 1996
130	WOODLAND, PHIL et al., The 1997 HTK Broadcast News Transcription
	System, Cambridge University Engineering Dept.,8 pages,1997
131	WOODLAND, PHIL et al., The 1998 HTK Broadcast News Transcription
	System: Development and Results, Cambridge University Engineering Dept.,
	6 pages, 1998
132	WOODLAND, PHIL et al., The 1994 HTK Large Vocabulary Speech
	Recognition System, Cambridge University Engineering Dept., 4 pages, 1995
133	WOODLAND, PHIL et al., Experiments in Broadcast News Transcription,
	Cambridge University Engineering Dept., 4 pages,1997
134	ENTROPIC, 16.1 SLF Files, 1 page, 1999
135	ENTROPIC, 16.5 Example SLF file, 2 pages, 1999
136	ENTROPIC, 5.15 Summary, 4 pages, 1999
137	ENTROPIC, About Entropic, 2 pages, 1999
138	ENTROPIC, Entropic HTK, 6 pages, 1999
139	ENTROPIC, 1.1 General Principles of Recognition, 4 pages, 1998
	, , , , , , , , , , , , , , , , , , , ,

Substitute for form 1449/PTO
Page 9 of 45
Application Number: 10/653,039
Filing Date: 08-29-2003
First Named Inventor: Bennett
Art Unit: 2626
Examiner Name: Martin Lerner

Attorney	Docket	Number:	PHO99004CIP	

140	ENTROPIC, 2.6 Speech Input/Output, 2 pages,1998
141	ENTROPIC, 3.6 Results Processing, 4 pages, 1998
142	ENTROPIC, 4.3 Alternative Hypotheses, 3 pages, 1998
143	ENTROPIC, HAPI Book Table of Contents, 6 pages, 1998
144	ENTROPIC, An Introduction to HAPI, 2 pages, 1998
145	ENTROPIC, HTK Book Table of Contents, 6 pages, 1999
146	ENTROPIC, Entropic Press Release, 2 pages, Nov 1998
147	ENTROPIC, Entropic Press Release, 2 pages, Aug 1998
148	ENTROPIC, Network, Dictionaries and Language Models, 2 pages, 1999
149	ENTROPIC, 12.7 N-Best Lists and Lattices, 2 pages, 1999
150	ENTROPIC, 5.1 General Mechanism, 3 pages, 1999
151	ENTROPIC, Entropic Truetalk Products, 1 page, 1988
152	MAES et al., File history for U.S. patent 7,003,462, 377 pages, 10/1/1999
153	INTERNAL DOCUMENT, Corona Speech Recognition System Developer's
	Manual, Corona Corporation,143 pages,1994
154	INTERNAL DOCUMENT, Corona Speech Recognition System Developer's
	Manual UNIX, Corona Corporation, 219 pages, 1995
155	INTERNAL DOCUMENT, Nuance Speech Recognition System Developer's
	Manual Version 4.0.1 For The UNIX Operating system, Nuance
	Communications,221 pages,1995
156	DENENBERG, L. et al., Gisting Conversational Speech in Real Time, BBN
	Systems and Technologies,4 pages,1993
157	ZUE, Victor et al., Conversational Interfaces: Advances and Challenges,
	Proceedings of the IEEE,15 pages, August 2000
158	CHIEN, Lee Feng et al., Internet Chinese Information Retrieval Using
	Unconstrained Mandarin Speech Queries Based on a Client Server
	Architecture and a PAT tree-based Language Model, IEEE, 4 pages,1997
159	COX, RICHARD et al., Scanning the Technology On the Applications of
	Multimedia Processing to Communications, Proceedings of the IEEE, 70
	pages, 05/1998
160	GLASS, J. et al., A Bilingual Voyager System, MIT, 6 pages, September 1993
161	DANIELSEN, PETER, The Promise of a Voice enabled Web, Lucent
	Technologies, 3 pages, 08/2000
162	INTERNAL DOCUMENT, Speech Processing, Transmission and Quality
	aspects; Distributed speech recognition; frontend feature extraction algorithm;
	compression algorithms, ETSI Standard, 20 pages, 2000
163	HEMPHILL, Charles et al., Speech Aware Multimedia, Texas Instruments Inc,
	5 pages,1996
164	KONDO, Kazuhiro et al., Surfin' the World Wide Web with Japanese, Texas

Substitute for form 1449/PTO Page 10 of 45 Application Number: 10/653,039 Filing Date: 08-29-2003 First Named Inventor: Bennett Art Unit: 2626

	Instruments Personal Systems Lab, 4 pages,1997
165	INTERNAL DOCUMENT, Voice eXtensible Markup Language, VoiceXML
	Forum,101 pages, 03/07/2000
166	WHITE, JIM, Voice Browsing, Internet Computing, 2 pages, 02/2000
167	LEVIN, ESTHER et al., Spoken Language Dialogue: From Theory to Practice,
	At&T Bell Labs Research, 4 pages, December 1999
168	RUDNICKY, A. et al., An Agenda Based Dialog Management Architecture for
	Spoken Language Systems, IEEE ASRU Workshop, 4 pages,1999
169	ELLIS, DANIEL et al., Investigations into Tandem Acoustic Modeling for the
	Aurora Task, Proc. Eurospeech01, Special Event on Noise Robust
	Recognition, 4 pages, 2001
170	HERMANSKY, HYNEK, et al., Tandem Connectionist Feature Extraction for
	Conventional HMM Systems, in Proc. ICASSP,4 pages, 2000
171	ADAMI, ANDRE et al., Qualcomm-ICSI-OGI Features for ASR, ICSLP-2002,
	Denver, Colorado, USA, 4 pages, September 2002
172	KARNA, KAMAL, Artificial Intelligence for man machine interface, Computer
	Magazine, 2 pages, 09/1984
173	HAYESROTH, FREDERICK The Knowledge Based Expert System: A
	Tutorial, Proc. IEEE 1984,17 pages, September 1984
174	RICH, ELAINE, Natural Language Interfaces, Proc. IEEE 1984, 9 pages,
	Sep1984
175	MANGIONE, PAUL, Speech Recognition and Office Automation, in Voice I/O
	Systems Applications Conference '85, American Voice I/O Society (AVIOS),
	12 pages, 09/10/1985
176	HOULE, G.R. et al., Software Interface for Keyword Spotting Applications,
	Lockeed Missiles & Space Company, 13 pages, 1987
177	ANONYMOUS, American Express Credit Authorization Voice Response
	System, AVIOS 1987 Conference, 4 pages, 1987
178	STRINGA, L. et al., An Analysis by Synthesis Approach to Speech
	Recognition and Understanding Based on a Modular Expert System, Istituto
	per la Ricerca Scientifica e Tecnologica, 8 pages,
179	DUNN, MARCIA, Computers learning to be as literate as humans, The
	Arizona Republic, 2 pages, 09/25/1988
180	BINGHAM, SANFORD, Computers Know What We're Saying,
	Inbound/Outbound, 5 pages,10/1980
181	BOUNDS, ANITA et al., Implementing Speech Recognition, Applied
	Technology, 5 pages, undated
182	MIKKILINENI, R.P. et al., Experiences with Implementing Automatic Speech
	Recognition in the Public Telephone Network, AT&T Bell Laboratories, 6

Substitute for form 1449/PTO Page 11 of 45 Application Number: 10/653,039 Filing Date: 08-29-2003 First Named Inventor: Bennett Art Unit: 2626

T	pages, undated
183	PALLETT, D.S. et al., Preliminary DARPA ATIS Test Results, NIST/DARPA, 6
103	pages, June 1990
 184	PRICE, P.J., Evaluation of Spoken Language Systems: the ATIS
104	
105	Domain, Human Language Technology Conference, 4 pages, 1990
185	HEMPHILL, CHARLES, et al., The ATIS Spoken Language Systems Pilot
100	Corpus, Speech Research Branch Texas Instruments, 2 pages, undated
186	ZUE, VICTOR et al., Preliminary ATIS Development at MIT,MIT,6 pages,
	undated
187	ZUE, VICTOR et al., Recent Progress on the VOYAGER System,MIT,6
	pages,undated
188	DAHL, DEBORAH et al., Training and Evaluation of a Spoken Language
	Understanding System, Unisys Defense Systems, 9 pages, undated
189	MAKHOUL, JOHN, Spoken Language Systems, BBN Systems and
	Technologies, 1 page, undated
190	GLASS, JIM et al., The MIT Voyager Spoken Language System, MIT, 16
	pages, undated
191	ANONYMOUS, The State of Voice I/O Research Technology,11 pages,
	undated
192	TSUBOI, TOSHIAKI et al., A Speech to Text Transcription System Using
	Continuous Speech Recognition, Nippon Telegraph and Telephone
	Corporation10 pages,1990 or after
193	BAKER, JANET, New opportunities opening up with large vocabulary speech
	recognition Dragon Systems, Inc., 5 pages, undated
194	MOSKO, JAMES, Computer Assisted Voice Translation: A Voice I/O
	Technology of the Future, 6 pages, undated
195	SPITZ, JUDITH et al., Towards Automated Operator Services: Speech
	Technology Considerations, NYNEX Science and Technology, 9 pages,
	undated
196	GRIFFITH, LAURA et al., Two Classes of Interactive Voice Response
	Applications, Ameritech Services Science and Technology, 12 pages, 1989
197	PRICE, PATTI, Spoke Language System Integration and Development,
	Proceeding of ICSLP 1990, Vol. 2 1990, 8 pages
198	ANONYMOUS, Proceedings Voice I/O Systems Applications Conference,
100	AVIOS, 09/24/1991, 58 pages
199	LEA, WAYNE, Trends and Countertrends in Research on Speech
'33	Recognition Speech Science Institute et al., 12 pages, 09/22/1992
200	EVERETT, STEPHANIE et al., Talking to a Natural Language Interface:
200	Lessons Learned, US Naval Research Laboratory, 7 pages, undated
	Lessons Learned, OS Navar Research Laboratory, 7 pages, undated

Substitute for form 1449/PTO Page 12 of 45 Application Number: 10/653,039 Filing Date: 08-29-2003 First Named Inventor: Bennett Art Unit: 2626 Examiner Name: Martin Lerner

201	VERHAEGHE, BART, Speech Recognition by Neural Networks Over the Telephone Line, Lemout & Hauspie Speech Products USA, Inc., 7 pages, undated
202	BALENTINE, BRUCE et al., Goal Orientation and Adaptivity in a Spoken Human Interface, Scott Instruments Corporation, 15 pages, undated
203	DESMOND PIERI, A Human Factors Breakthrough for Telephone Automation Systems, 12 pages, undated
204	BOYCE RENSBERGER, The Quest for Machines that not only Listen but also Understand, The Washington Post, 05/03/1993
205	BATES, MADELEINE et al., Overview of the ARPA Human Language Technology Workshop, BBN Systems and Technologies, 2 pages, undated
206	RUDNICKY, ALEXANDER, Session 1: Spoken Language Systems, School of Computer Science, Carnegie Mellon University, 2 pages, undated
207	PALLETT, DAVID et al., Benchmark Tests for the DARPA Spoken Language Program, National Institute of Standards and Technology, 12 pages, undated.
208	HIRSCHMAN, L. et al., Multi-Site Data Collection And Evaluation In Spoken Language Understanding, MIT Laboratory for Computer Science, 6 pages, undated
209	THOMPSON, HENRY et al., The HCRC Map Task Corpus: Natural Dialogue for Speech Recognition, 6 pages, undated
210	LINEBARGER, MARCIA et al., A Portable Approach to Last Resort Parsing and Interpretation, Paramax Systems Corporation, 6 pages, undated
211	DOWDING, JOHN et al., Gemini: A Natural Language System for Spoken- Language Understanding SRI International, 6 pages, undated
212	HUANG, XUEDONG et al., An Overview of the SPHINX-II Speech Recognition System, Carnegie Mellon University, 6 pages, undated
213	WEINTRAUB, MICHAEL, Improved Keyword-Spotting Using SRI's Decipher Large-Vocabulary Speech Recognition System, SRI International, 5 pages, undated
214	ROBERT C. MOORE, Session 4: Natural Language, SRI International, 2 pates, undated
215	FERGUSON, GEORGE et al., Generic Plan Recognition for Dialogue Systems, University of Rochester, 6 pages, undated
216	MAKHOUL, JOHN et al., Robust Continuous Speech Recognition, BBN Systems and Technologies, 1 page, undated
217	RAJ REDDY, Spoken Language Research at Carnegie Mellon, Human Language Technology Conference, 1 page, undated
218	BAKER, JANET et al., Research in Large Vocabulary Continuous Speech Recognition Dragon Systems, Inc., 1 page, undated

Substitute for form 1449/PTO Page 13 of 45 Application Number: 10/653,039 Filing Date: 08-29-2003 First Named Inventor: Bennett Art Unit: 2626

21	9 ZUE, VICTOR et al., Spoken Language Recognition and Understanding, MIT,
	1 page, undated
22	0 GRISHMAN, RALPH, Research in Natural Language Processing, NYU, 1
	page, undated
22	1 PRICE, PAT et al., Real-Time Spoken-Language System for Interactive
	Problem Solving, SRI International, 1 page, undated
22	
	DEC, 6 pages, 1992, undated
22	
	Intended for Synthesis Applications, 7 pages, paper presented at ESCA
	workshop on Applications of Speech Technology, Bavaria, Germany, Sept.
	16-17, 1993
22	
	BT Laboratories, 8 pages, undated
22	
	Speech Recognition Applications, TMA Associates, 6 pages, undated
22	
	Navigational Displays, Speech Science Institute, 9 pages, presented in 1993
22	
	Language Interface, US Naval Research Laboratory, 12 pages, earlier
	version of this paper appeared in Proceedings of the American Voice I/O
	Society Conference, September 1992
22	
L	09/21/1993
22	
-	Technology Group, 11 pages, 1993
23	
L	Review, Advanced Technology Group, 11 pages, 1994
23	
	pages, 1994
23	
	11/02/1994
23	
	11/07/1994
23	
H	Times, 1 page, Oct 9, 1994
23	
L	page, 04/18/1999
23	6 TAUBER, JOSH, Virtual Assistants: Speech's next "Killer App, "Speech

Substitute for form 1449/PTO Page 14 of 45 Application Number: 10/653,039 Filing Date: 08-29-2003 First Named Inventor: Bennett Art Unit: 2626 Examiner Name: Martin Lerner

	Technology Magazine, 4 pages, December/January 1999
237	WILDFIRE Press Release, McCaw Cellular Communications Invests in
	Wildfire Communications, Maker of Phone-based Electronic Assistant, 3
	pages, 01/23/1995
238	WILDFIRE, Corporate Profile, 7 pages, 1994
239	COMPUTER TELEPHONY, Wildfire's Wildfire Electronic Assistant, 1 page,
	11/994
240	MEYERS, JASON, Wireless Wildfire, BellSouth Cellular launches service
	trial, Wireless Networks, 2 pages, 26 May 1997
241	DAHL et al., ATIS Overview SLT Workshop, 12 pages, March 7-9, 1994
242	WOODLAND, P.C., et al., Large Vocabulary Continuous Speech Recognition
	Using HTK, 4 pages, to appear in ICASSP 1994
243	Agenda ARPA 2 pages, March 8, 1994
244	DAHL, DEBORAH et al., Expanding the Scope of the ATIS Task: The ATIS3
	Corpus, Unisys Corporation, 6 pages, 1994
245	ZUE, VICTOR et al., Pegasus: A spoken Language Interface for On-Line Air
	Travel Planning, 6 pages, undated
246	RAYNER, MANNY et al., Combining Knowledge Sources to Reorder N-Best
	Speech Hypothesis Lists, SRI International, 12 pages, 1994
247	Speech Recognition Update, ARPA Workshop on Human Language
	Technology 1994, 10 pages, March 1994
248	WEINSTEIN, CLIFFORD J., EDITOR Overview of the 1994 ARPA Human
	Language Technology Workshop, MIT Lincoln Laboratory, 3 pages, undated
249	PALLETT, DAVID et al., 1993 Benchmark Tests for the ARPA Spoken
	Language Program, NIST, 10 pages, 1993
250	BATES, MADELEINE, Session 6: Spoken Language Systems, BBN Systems
	and Technologies, 2 pages, undated
251	ABRASH, VICTOR, Session 7: Demonstrations, SRI International, 2 pages,
	undated
252	OLANO, CALVIN, One DODer's View of ARPA Spoken Language Directions,
	Department of Defense, 3 pages, undated
253	ANITA BOUNDS, Speech Recognition in Travel Services, 8 pages, Report on
	1992-1993 ARPA ATIS Project, 1994
254	GRAEME W.SMITH, Development Tools for Speech Recognition, BBN HARK
	Systems Corporation, 9 pages, undated
255	PROUDFOOT, RICHARD et al., Convention and Intentionality in Processing
	Simple Conversational Scripts, Language Systems, Inc, 10 pages, undated
256	BRUCE ARMSTRONG, Speech Recognition Application Program Interface
	Committee: The Creation of a Standardized Method for Applications to

Substitute for form 1449/PTO Page 15 of 45 Application Number: 10/653,039 Filing Date: 08-29-2003 First Named Inventor: Bennett Art Unit: 2626

	Integrate with Speech Recognition Systems, SRAPI Committee, 8 pages, undated
257	SHIGERU HOMMA et al., Spoken-Phrase Transcription Processor Designed for Radiologists, 5 pages, undated
258	JANET HO, et al., Speech Recognition in a Hospital Bedside Charting System, KorTeam International, 12 pages, undated
259	MARTIN APPEL, et al, Report on Spoken Language Recognition Year 2000 Census Questionnaire, Bureau of the Census, 21 pages, undated
260	WILLIAM S. MEISEL, Issues in Market Acceptance of Speech Recognition, TMA Associates, 4 pages, undated
261	DOUGLAS SHARP et al., Flexible Vocabulary Recognition Unleashing the Power of the Phoneme, Bell Northern Research, 6 pages, undated
262	CATHLEEN R. SHAMIEH, Directory Assistance Call Complexity Analysis, Bellcore, 4 pages, undated
263	DARRELL STAM et al., Integrating Speech I/O in the Conversational Paradigm, Digital Equipment Corporation, 6 pages, undated
264	NICOLE YANKELOVICH, et al., Speech Acts: A Framework for Building Speech Applications, Sun Microsystems Laboratories, Inc., 10 pages, undated
265	ANITA BOUNDS, Automated Speech Recognition (ASR) History Within American Express, AMEX Travel Related Services Memo, 4 pages, Nov. 1, 1994
266	AVIOS 1994, Spoken Dialogue Recognition, Powerpoint Presentation, 13 pages, 1994
267	ANITA BOUNDS, Speech Recognition in Travel Services, 12 pages, Report on 1992-1993 ARPA ATIS Project, 1994
268	PENNY BARAN, Universal Card Recognizes Value of Voice Recognition AT&T Communication News, 2 pages, Sept. 1995
269	CHRIS CHINNOCK, Calling Out for the Next User Interface, OEM Magazine, 6 pages, July/August 1995
270	ANONYMOUS, The PowerPC Alliance Response to Slater's Open Letter, OEM Magazine, 1 page, July/August 1995
271	WILLIAM S. MEISEL, What makes a successful speech recognition product,? TMA Associates, 6 pages, undated
272	THOMAS DILLON et al., ER Investigation of a Spoken Language Interface for Patient Data Recording by Nurses, Salisbury State University, 10 pages, undated
273	CHRISTINE MONTGOMERY et al., Machine Aided Voice Translation (MAVT) System, Language Systems, Inc., 9 pages, undated

Substitute for form 1449/PTO
Page 16 of 45
Application Number: 10/653,039
Filing Date: 08-29-2003
First Named Inventor: Bennett
Art Unit: 2626
Examiner Name: Martin Lerner

274	MATT MARX, et al., Against "Shoehorning": Rethinking IVR Architectures for
	Speech Recognition, 8 pages, undated
275	SHERYL R. YOUNG, Discourse Structure for Multi-Speakers Spontaneous
	Spoken Dialogs: Incorporating Heuristics into Stochastic RTNS, Carnegie
	Mellon University, 4 pages, 1995
276	CLAUDIA PATERAS et al., Understanding Referring Expressions in a
	Person-Machine Spoken Dialogue, MCGill University, 4 pages, 1995
277	JODY WACKER, Transforming Telemarketing Call Centers Into Profit
	Centers, Call Center Management, 4 pages, undated
278	PAUL MCGOLDRICK, Did You Hear What I Said,? Electronic Design, 5
	pages, October 1, 1996
279	MARY LENZ, Coming In Loud and Clear, Call Center Magazine, 5 pages,
	August 1996
280	VAN WHITE, Internet Telephony Gateway, Internet Call Center, Concept
	Trial, Lucent Technologies, 24 pages, July 1996
281	ISAAC FRYDMAN, The Internet is coming to a Call Center Near You, Or is it?
	TeleProfessional, 2 pages, September 1996
282	VOICENEWS et al., Industry Highlights for July 1996, 4 pages, July 1996
283	DAVID EINSTEIN, New Era in Speech Software, 2 pages, SF Chronicle, 2
	pages, September 12, 1996
284	CALL CENTER MANAGEMENT, Call Center 2000: The Future is Here, White
	Paper, 5 pages, June 1996
285	ROBERT WILLE, Speech as a Viable I/O Device Using Non-Conventional
	Network Connections, 9 pages, Novell, undated
286	BRUCE ARMSTRONG, Merging Desktop, Network and Telephony Speech
	Environments Using Distributed Object Technology, Novell Speech
	Technology, 4 pages, undated
287	Speaker Verification Market Revving, Voice Technology & Services News, 3
	pages, Nov 12, 1996
288	BILL MANARIS, et al., Interactive Natural Language Processing: Building on
	Success, Computer, 4 pages, 1996
289	ALEX WAIBEL, Interactive Translation of Conversational Speech, Computer,
	8 pages, 1996
290	STEFAN WERMTER, et al., Interactive Spoken-Language Processing in a
	Hybrid Connectionist System, Computer, 7 pages, July 1996
291	PETER GANTCHEV, One Number, Follow Me, Speech Technology, 3 pages,
	Aug./Sept. 1997
292	MICHAEL HARRIS, Put Your Best Voice Forward, Speech Technology, 3
	pages, Aug./Sept.1997

Substitute for form 1449/PTO Page 17 of 45 Application Number: 10/653,039 Filing Date: 08-29-2003 First Named Inventor: Bennett Art Unit: 2626 Examiner Name: Martin Lerner

293	ANONYMOUS, Headsets: An Essential Ingredient in Speech Recognition,
	Speech Technology, 1 page, Aug./Sept 1997
294	Draco, Intelligent agents etc., from Draco website, 12 pages, 1997
295	DON FARBER, The Internet challenge: One size doesn't fit all, Technology
	Marketing Corporation, 4 pages, July 1997
296	Speech Recognition Update, Dragon Will soon ship general purpose
297	continuous dictation system, 2 pages, April 1997 Speech Recognition Update, New Version of Unisys speech understanding
297	
298	software, 2 pages, April 1997 HANG-SEOP LEE, et al., Speech Web Browser using Variable Vocabulary
290	
	Word Recognition, Spoken Language Processing Section, ETRI, 5 pages, undated
299	D. J. ATTWATER et al., Towards Fluency Structured Dialogues with Natural
	Speech Input, BT Laboratories, 10 pages, undated
300	ANONYMOUS, Microsoft Speech Research Program Overview, Microsoft
	Research, 10 pages, undated
301	ANONYMOUS, Voice Technology & Services News, Vendors Revving Up
	Systems for Year 2000, 1 page, Feb. 4, 1997
302	RONALD CROEN, Natural Speech Recognition Impacts Fianancial Industry,
	Voice Technology & Services News, 1 page, Feb. 4, 1997
303	ANONYMOUS, Voice Technology & Services News, Personal Assistants Can
	Walk and Talk, 2 pages, Feb. 18. 1997
304	RUTH SUAREZ et al, Unisys and Mediasoft Team For Natural
	Understanding, Voice Technology & Services News, 1 page, Feb 18, 1997
305	ANONYMOUS, Unisys Natural Language Speech Assistant, ASR News, 2
	pages, August 1998
306	ANONYMOUS, ViaVoice Ships at \$99, ASR News, 2 pages, Aug. 1997
307	ANONYMOUS, VCS Speech Wave 1.0, ASR News, 3 pages, Nov. 1997
308	R.A.COLE et al., Experiments with a spoken dialogue system for taking the
	US census, Speech Communications 23 (1997), 18 pages, 1997
309	BABER et al., Factors Affecting Users' Choice of Words in Speech-Based
	Interaction with Public Technology, Int'l Journal of Speech Technology 2,
	1997, 15 pages
310	DENIS JOHNSTON, Telephony Based Speech Technology From Laboratory
	Visions to Customer Applications, Int'l Journal of Speech Technology 2,
	(1997), 11 pages
311	HELMER STRIK et al., A Spoken Dialog System for the Dutch Public
	Transport Information Service, International Journal of Speech Technology 2,
	1997, 11 pages

Information Disclosure Statement By Applicant Substitute for form 1449/PTO

Substitute for form 1449/PTO
Page 18 of 45
Application Number; 10/653,039
Filing Date: 08-29-2003
First Named Inventor: Bennett
Art Unit: 2626
Examiner Name: Martin Lerner

312	MARY G. THYFAULT, Voice Recognition Enters the Mainstream, Information Week, 1 page, July 14 1997
313	J. ALVAREZ et al., Development and Evaluation of the ATOS Spontaneous
313	
	Speech Conversational System, Speech Technology Group, 4 pages, 1997
314	DARIO ALBESANO et al., Dialogos: A Robust System for Human-Machine
- 0.15	Spoken Dialogue on the Telephone, CSELT, 4 pages, undated
315	NEIL GROSS, Speech Technology Is The Next Big Thing in Computing,
010	Special Report, Business Week, 14 pages, Feb.23, 1998
316	MARTIN KREMPASKY, Unisys Unveils NLSA Program, Speech Technology,
	3 pages, Jan/Feb 1998
317	BRIAN LEWIS, Natural Language, Speech Technology, 5 pages, Vol. 3 No 2 April/May 1998
318	MARKKU HAKKINEN, Web Browsers that Speak Allow Students with
	Disabilities an Equal Chance, Speech Technology, 3 pages, April/May 1998
319	CHIN LEE et al., Speech Combines Telephone and Internet, Speech
	Technology, 2 pages, June/July 1998
320	MARIE METEER et al., Just the Facts, Speech Technology, 6 pages,
	June/July 1998
321	ANONYMOUS, Speech Turns the Internet into a Global Village, Speech
	Technology, 4 pages, Aug/Sept 1998
322	ANONYMOUS, Beyond Recognition, to Understanding, Speech Technology,
	1 page, Oct/Nov 1998
323	ANONYMOUS, Building the Interface of the Future, Speech Technology, 1
	page, Oct/Nov 1998
324	ANONYMOUS, Speech in Telecommunications, Speech Technology, 2
	pages, Oct/Nov 1998
325	ANONYMOUS, Speech, Touch and Other Interfaces, 2 pages, Speech
	Technology, Oct/Nov 1998
326	GABRIEL F. GRONER, Specialized Vocabularies for Professional Speech,
	Speech Technology, 2 pages, Oct/Nov 1998
327	ANONYMOUS, Voice Technology & Services News ,Voice Piggybacks on
	Emerging Technologies, 1 page, January 6, 1998
328	ANONYMOUS, Voice Technology & Services News, Wildfire Re-launches
	Personal Assistants, 1 page, January 6,1998
329	ASR News, Unisys NL Speech Assistant 3.0, 2 pages, Vol.9 No.8, August
	1998
330	COLLEEN CRANGLE, et al., Collaborative Conversational Interfaces,
	International Journal of Speech Technology 2, 14 pages, 1998
331	RONALD COLE et al., Accessible Technology for Interactive Systems: A New

Substitute for form 1449/PTO Page 19 of 45 Application Number: 10/653,039 Filing Date: 08-29-2003 First Named Inventor: Bennett Art Unit: 2626

 т-	
	Approach to Spoken Language Research, 4 pages, undated
332	STEVEN GREENBERG, Recognition in a New Key Towards a Science of
	Spoken Language, International Computer Science Institute, 4 pages,
	undated
333	HARALD AUST, Et al., Evaluating Dialog Systems Used in the Real World,
	Philips Speech Processing, 4 pages, undated
334	KAZUYO TANAKA, Next Major Application Systems and Key Techniques in
	Speech Recognition Technology, Electrotechnical Laboratory, 4 pages,
	undated
335	JUDY MYERSON, Internet Call Center, 8 pages, 6/29/1999
336	MIKE COHEN, Universal Commands for Telephony Based Spoken Language
	Systems, TELEPHONE SPEECH STANDARDS COMMITTEE, 13 pages,
	undated
337	Website, Commercial Speech Recognition, 10 pages, 4/17/99
338	JULIE VALLONE, New Phone Menu Option: Press 1 for Personality.
	Investor's Business Daily, 1 page, Dec.23, 1999
339	JORDAN COHEN et al., Dragon Systems AudioMining for Call Centers
	Technical Overview, 5 pages, 11/27/1999
340	WILLIAM MEISEL, BellSouth Mobility to Deploy General Magic telecom
1	assistant service, Speech Recognition Update, 2 pages, 1999
341	WILLIAM MEISEL, Speech Machines offers Internet transcription service
	aided by speech recognition, Speech Recognition Update, 2 pages, May
	1999
342	ANONYMOUS, General Magic Selects SpeechWorks for Portico, Speech
	Technology, 1 page, April/May 1999
343	JAMES A LARSON, Parts of Speech: Building Dialogs, Speech Technology,
	3 pages, April/May 1999
344	ASRNEWS, Mobile Offerings from Dragon and L&H, Vol.10 No.4, April
	1999, 4 pages
345	ASRNEWS, Speechworks Licenses Rules from E-Speech, Vol.10 No.4,
	April 1999, 2 pages
346	HOLGER STOLZE, Developing Conversational Speech Applications Using
	Open Grammar and Mixed Initiative Dialog, Philips Speech Processing, 5
	pages, undated
347	MOSHE YUDKOWSKY, New ASR Features in the Computer Telephony
0,17	Industry Standard S.1000 API, Dialogic corporation, 5 pages, undated
348	CANDACE KAMM et al., Evaluating Spoken Language Systems, AT&T Labs,
5,0	11 pages, undated
349	ANONYMOUS, Extending the benefits of electronic commerce through
 1 373	1744-014 FM-000, Exterioling the benefits of electronic commence through

Substitute for form 1449/PTO Page 20 of 45 Application Number: 10/653,039 Filing Date: 08-29-2003 First Named Inventor: Bennett Art Unit: 2620 Examiner Name: Martin Lerner

	natural language speech recognition technologies, 9 pates, VCommerce, White Paper 1998
350	ANONYMOUS, Speech Recognition. Large Voc. Cont. Speech Rec (LVCSR) 5 abstracts of papers presented at EUROSPEECH'99, 5 pages
351	ANDREJ LJOLJE et al., The AT&T Large Vocabulary Conversational Speech Recognition System, AT&T Labs, 4 pages, undated
352	MICHAEL A. PICHENY, Challenges in Real-Time Implementations of Large Vocabulary Dictation Systems: Past, Present and Future, IBM Thomas J. Watson Research Center, 7 pages, undated
353	BOB CARPENTER, Human Versus Machine: Psycholinguisitcs Meets ASR, Lucent Technologies Bell Labs, 5 pages, undated
354	G. DAMMATI et al., Adding New Words in a Spoken Dialogue System Vocabulary Using Conceptual Information and Derived Classbased LM, France Telecom, 4 pages, undated
355	GORIN et al., Learning Spoken Language without Transcriptions, AT&T, 4 pages, undated
356	RENATO DE MORI, Recognizing and Using Knowledge Structures in Dialog Systems, LIA CERI-IUP, 10 pages, undated
357	DANIELE FALAVIGNA et al., Flexible Mixed Initiative Dialogue over the telephone network, Istituto per la Ricerca Scientifica e Tecnologica, 4 pages, undated
358	JEAN-MARC VALIN et al., Open Mind Speech Recognition, 4 pages, undated
359	BARBARA PESKIN et al., Improvements in Recognition of Conversational Telephone Speech, Dragon Systems, 4 pages, undated
360	S.E. JOHNSON et al., The Cambridge University Spoken Document Retrieval System, Cambridge University, 4 pages, undated
361	STEPHEN ANDERSON et al., Recognition of Elderly Speech and Voice Driven Document Retrieval., Dragon Systems, 4 pages, undated
362	WOLFGANG MINKER, The Role of Evaluation in the Development of Spoken Language Systems, International Journal of Speech Technology 3, 514 (1999), 10 pages
363	RELAÑO GIL et al., Flexible Mixed Initiative Dialogue For Telephone Services, Speech Technology Group, 4 pages, undated
364	EGBERT AMMICHT et al., Knowledge Collection for Natural Language Spoken Dialog Systems, AT&T Labs, 4 pages, undated
365	SMITH, BRAD, Yes, But Does It Talk Back? Wireless Week, 4 pages, March 6, 2000
366	Speaking Tour: ASR and TTS Follow the Money, http://www.computertelephony.com July 3, 2001, 15 pages

Substitute for form 1449/PTO Page 21 of 45 Application Number: 10/653,039 Filing Date: 08-29-2003 First Named Inventor: Bennett Art Unit: 2626 Examiner Name: Martin Lerner

367	GREENMAN, CATHERINE, Web Surfing, at the Sound of Your Own
	VoiceNew York Times Archives, Aug.3, 2000, 5 pages
368	ANONYMOUS, Update On Speech Recognition, Speech Recognition
	Update, 3 pages, January 2000
369	WILLIAM MEISEL, The Voice Web And The Telephone VUI, Speech
	Recognition Update, 1 page, May 2000
370	SUSAN SPADACCINI, The Word Is Out On 'The Street", Speech
	Technology, 3 pages, December 1999/January 2000
371	BRIAN LEWIS, Speech Recognition Enters The Internet Age, Speech
	Technology, 3 pages, December 1999/January 2000
372	PAM RAVESI, Dialing The Future Of Customer Service, Speech
	Technology, 3 pages, December 1999/January 2000
373	JAMES LARSON, Internet Appliances Are Inexpensive, Intuitive And
	Conversational, Speech Technology, 4 pages, December 1999/January 2000
374	M.F.WEEGLES, Users' Concept Of Voice Operated Information Services,
	International Journal of Speech Technology 3, 75 82, 8 pages, Accepted
	January 25, 2000
375	DANIEL ELLIS, et al., Investigations Into Tandem Acoustic Modeling For The
	Aurora Task, Columbia University, 4 pages, undated
376	ART ROSENBERG et al., Where Is Self Service Going With Voice Portals?,
	Call Center 3 pages, March 5, 2001
377	JOE A. NICKELL, TO Voice Mail Hell And Back, Business 2.0, 6 pages, July
	10, 2001
378	ERIC HAMMOND, Web Meets Telephone, Infoworld, 4 pages, July 27, 2001
379	ANDRE ADAMI et al., Qualcomm ICSI OGI Features For ASR, OGI School
	of Science & Engineering, et al., 4 pages, undated
380	LEE HOLLMAN, Let Your Voice Do The Walking, Call Center Magazine, 9
	pages, Nov.4, 2002
381	SAVITHA SRINIVASON et al., Is Speech Recognition Becoming
	Mainstream?, Computer, 4 pages, April 2002
382	MUKUND PADMANABHAN, et al., Large Vocabulary Speech Recognition
	Algorithms, Computer, 4 pages, April 2002
383	ALLEN GORIN et al., Automated Natural Spoken Dialog, Computer, 6
	pages, April 2002
384	PEDRO MORENO et al., From Multimedia To Knowledge Management,
	Computer, 4 pages, April 2002
385	JAMES FLANAGAN, Computers That Talk And Listen: Man Machine
	Communication By Voice, Proceedings of IEEE. Vol. 64, No.4, 8 pages, April
	1976

Substitute for form 1449/PTO Page 22 of 45 Application Number: 10/653,039 Filing Date: 08-29-2003 First Named Inventor: Bennett Art Unit: 2626 Examiner Name: Martin Lerner

386	MICHAEL KIERAN, Human Thought Amplified Intelligent Machines, The Globe & Mail, (Canada), 6 pages, November 24, 1980
387	SCOTT ARMSTRONG, Scientists Make Gains In Long Road Toward A
367	
200	Computer That 'Listens', Christian Science Monitor, 3 pages, May 2, 1985
388	HESTER et al., The AT&T Multi Mode Voice Systems Full Spectrum
	Solutions For Speech Processing Applications, Voice I/) Systems
	Applications Conference, 9 pages, September 10 12, 1985
389	WINN L. ROSCH, Voice Recognition: Understanding The Master's Voice, PC
	Magazine, 23 pages, October 27, 1987
390	DAVID HINLEY, Hobart Mercury, Nationwide News, 3 pages, February 9,
	1988
391	ED TAGG, Automating Operator Assisted Calls Using Voice Recognition,
	Speech Technology, 4 pages, Mar/Apr 1988
392	DON CLARK et al., 90s Hold High Tech Promise Experts Think Dreams May
	Come True, San Francisco Chronicle, 4 pages, Nov.27, 1989
393	PAUL BAMBERG et al., The Dragon Continuous Speech Recognition
	System: A Real Time Implementation, Human Language Technology
	Conference, 4 pages, undated
394	ANONYMOUS, Defense Dept. Sponsored Competition Of Futuristic Spoken
	Language Computer Systems Won By SRI International, PR Newswire, 2
	pages, May 14, 1991
395	VICTOR ZUE, et al., Integration Of Speech Recognition And Natural
	Language Processing In The MIT Voyager System, ICSSP 91, pp.713 716, 5
	pages, 1991
396	MICHAEL PHILIPS, Letter To A. Bounds Re The Pegasus Demonstration.
000	MIT, 4 pages, Feb. 11, 1994
397	GARY MCWILLIAMS, Computers Are Finally Learning To Listen, Business
397	Week, No.3343, Pg.100, 2 pages, November 1, 1993
398	MARY E. THYFAULT, The Power Of Voice, Informationweek, 6 pages, May
390	9, 1994
399	GWENDOLYN FREYD, Friendly Chats With Computers, Technology
399	
400	Review, 3 pages, May/June 1992
400	SCOTT LEIBS, Why Can't PC's Be More Fun?, Information Week, 7 pages,
	August 15, 1994
401	ANONYMOUS, Creative Technology Takes Stake In Voice Processing,
	Newsbytes, 1 page, January 3, 1994
402	ANONYMOUS, Creative Technology Intros Awe32 Pc Sound Card,
	Newsbytes, 2 pages, March 1, 1994
403	ANONYMOUS, (BBN) Time Warner Cable And BBN Hark Systems

Substitute for form 1449/PTO Page 23 of 45 Application Number: 10/653,039 Filing Date: 08-29-2003 First Named Inventor: Bennett Art Unit: 2626

	Corporation Plan Too Provide Voice Access To The Information
	Superhighway, Business Wire, 3 pages, Nov.1, 1994
404	SHARI CAUDRON, General Magic Inc.: Technology Of The Year Section,
	Industry Week, 3 pages, Dec.19, 1994
405	STEVE ROSENBUSH, Mechanics Road Test Mentis Portable Computer Lets Workers Learn While In The Field, USA Today, 2 pages, August 12, 1997
406	SAM MENEFEE, Comdex Mentis Wearable Voice Activated Computer, Newsbytes, 2 pages, November 24, 1997
407	BARBARA RADISICH, Speech Recognition Overview, Datapro
	Communications Analyst , 22 pages, August 1994
408	RICH MALLOY, Article Sent To Anita Bounds: The Speech Recognition Revolution, Q52 Professional, 10 pages, March 1994
409	JAMES GLASS et al., The Mit Atis System: Dec. 1994 Progress Report, ARPA HLS meeting 1994, 5 pages, 1994
410	MICHAEL COHEN et al., Speech Recognition In The ATIS Domain Using Multiple Knowledge Sources, SRI international, 4 pages, Undated
411	ROBERT MOORE et al., Combining Linguistic And Statistical Knowledge Sources In Natural Language Processing For ATIS, SRI International, 4 pages, undated
412	CHARLES HEMPHILL et al., Surfing The Web By Voice, ACM Multimedia 95, Electronic Proceedings, 10 pages, Nov.5 9,1995
413	MICHAEL KAUFMAN, Sorry, Ma'm, No Listing For 'Enry 'Iggins; Voice Recognition Is Improving But Don't Stop The Elocution Lessons, New York Times, 5 pages, June 26, 1995
414	RON COLE et al., The Challenge Of Spoken Language Systems: Research Directions For The Nineties, IEEE Transactions on Speech and Audio Processing, 21 pages, January 1995,
415	VICTOR ZUE, Navigating The Information Superhighway Using Spoken Language Interfaces, Proceedings of the IEEE p.39, 5 pages, October 1995
416	BARBARA MARX, BBN Survey Of Corporate Travel Buyers Finds Strong Consumer Demand For Speech Recognition Based Services, BBN Press Release, 2 pages, October 1995
417	SAMUEL BAYER, Embedding Speech In Web Interfaces, ICSLP 96 ISCA Archive, 4 pages, October 1996
418	ANONYMOUS, Voice Recognition. The Galaxy's Guide To The Hitch Hiker, The Economist, 2 pages, May 11, 1996
419	LUCAS GRAVES, Voice Boards Galore, IVR, 6 pages, 1996
420	VICTOR ZUE, Conversational Interfaces: Advances And Challenges, 5th European Conference on Speech and Technology, 10 pages, Sept.22 25,

Substitute for form 1449/PTO Page 24 of 45 Application Number: 10/653,039 Filing Date: 08-29-2003 First Named Inventor: Bennett Art Unit: 2626

	1997
421	
421	ANONYMOUS, Bellcore Learning Services Learn Your Link To The Business World Using Multimedia, PR Newswire, 2 pages, August 19, 1997
 422	ANONYMOUS, Cyber Call Centers: Integrating Internet Technology With
422	Call Centers, Network Solutions/Yankee Watch, 12 pages, August 1997
423	
423	DAVID STALLARD, BBN Position Paper On Conversational Web Access, Voice Workshop, 4 pages, undated
424	RAJEEV AGARWAL et al., Voice Browsing The Web For Information
424	Access, Voice Workshop, 9 pages, undated
425	MICHAEL WYNBLATT, et al., Position Paper: Towards Improving Audio Web
425	Browsing, Voice 1998 Workshop, 4 page, undated
426	MICHAEL ROBIN et al., Considerations In Producing A Commercial Voice
420	Browser Voice Workshop 3 pages, undated
427	ANONYMOUS, Voice Browser Workshop Agenda, Voice Workshop, 7
421	pages October 13, 1998
428	MICHAEL BROWN et al., Phonebrowser: A Web Content Programmable
720	Speech Processing Platform, Voice 1998 Workshop, 6 pages, 1998
429	DAVID CEARLEY, It's All About The Software, Information Week, 3 pages,
720	May 4, 1998
430	GERRY BLACKWELL, Learning Language The Fun Way METRO EDITION,
	4 pages, September 24, 1998
431	BOB WEINSTEIN, Now You Can Be On Speaking Terms With Your
	Computer, The Boston Globe, 3 pages, Nov 5, 1998
432	KARLIN LILLINGTON, Mouth To Mouse: Have A Quiet Word With Your
	Computer One Day, The Guardian (London), 3 pages, Jan 15, 1998
433	HENRY KENYON, HRD Execs Concerned About Keeping Up With New
	Learning Technologies, Corporate University Review, 4 page, Feb 1, 1998
434	ANONYMOUS, ISLIP Media Debuts World's First Speech Recognition
	Products Designed For Media Applications, PR Newswire 3 pages, April 6,
	1998
435	ARLENA SAWYERS, GM Techs Get A Voice In Training, Automotive News,
	2 pages, June 1, 1998
436	ANONYMOUS, ISLIP Introduces Mediakey Logger And Finder 3.0, PR
	Newswire, 3 pages, July 22, 1998
437	PAUL PROCTOR, Rave For Trainer, Aviation Week and Space Technology,
	1 page, July 27, 1998
438	LAURIE BASSIE et al., Trends In The Workplace: Supply And Demand In
	Interesting Times, ASTD Annual Report, 33 pages, Nov.1998
439	SAUL FELDMAN, Voice Recognition Doze It Reality Wok? Computer

Substitute for form 1449/PTO

Page 25 of 45

Application Number: 10/653,039 Filing Date: 08-29-2003

First Named Inventor: Bennett Art Unit: 2626

Examiner Name: Martin Lerner

	currents interactive, 18 pages, 05/18/1994
440	HARRY CHANG, Is ASR Ready For Wireless Primetime: Measuring The
++0	Core Technology For Selected Applications IEEE Workshop,IVTTA'98 12
	pages, 1998
441	K. GEORGILA, et al., A Dialogue System For Telephone Based Services
	Integrating Spoken And Written Language, IEEE Workshop, IVTTA'98 5
	pages, 1998
442	JONATHAN MARSHALL, When Computers Listen To You, The San
l <u>-</u>	Francisco Chronicle, 7 pages, 7 2 1998
443	JOHN MARKOFF, The Voice On The Phone Is Not Human, But It's Helpful,
	The New York Times on the Web, 7 pages, June 21, 1998
444	ROBIN KALBFLEISCH, Talk Is Chic, Canadian Computer Reseller Bizlink, 3
	pages, July 17, 1996
445	JONATHAN MARSHALL, Your Voice Is My Command Sophisticated Chips
	Let You Control A Variety Of Products, The San Francisco Chronicle, 3
	pages, May 12, 1998
446	LEE PENDER, Rumbling Heard In Speech Recognition Lernout & Hauspie
	Still Faces Desktop Competition, Techweb, 3 pages, May 11, 1998
447	ANONYMOUS, L&H Ships Voicexpress & Voicexpress Plus, ASRNews, 2
	pages, May 1998
448	ANONYMOUS, American Airlines, The Airline Leader In The Use Of Speech
	Recognition Technology, Expands The Innovation To Its Automated Flight
	Information, PR Newswire, 2 pages, Dec 8, 1998
449	ANONYMOUS, Reprints of several articles from Speech Recognition
	Update, 9 pages, 1998
450	DENIS FAYE, You're The Voice, Sydney Morning Herald(Australia), 4
	pages, July 13, 1999
451	ANONYMOUS, Brooktrout Software Expands Into The Enterprise E
	Business, PR Newswire, 5 pages, Aug 10, 1999
452	ANONYMOUS, First Searchable Video Website Solution Adds New Content
	Providers, PR Newswire, 3 pages, June 24, 1999
453	ANONYMOUS, New Technology That Makes It Easy To Search And Retrieve
	Multimedia Web Content Demonstrated By Dragon Systems, Business Wire,
	2 pages, Dec.7,1999
454	PETER DANIELSEN, The Promise Of A Voice Enabled Web, Computer, 6
	pages, August 2000
455	HOWARD D. WACTLAR et al., Complementary Video And Audio Analysis
	For Broadcast News Archives, Communications of the ACM 6 pages,
	February 2000

Substitute for form 1449/PTO Page 26 of 45 Application Number: 10/653,039 Filing Date: 08-29-2003 First Named Inventor: Bennett Art Unit: 2626 Examiner Name: Martin Lerner

456	BANK OF AMERICA, Voice Processing Hardware, Ppt. Presentation Internal, 25 pages, May 20,1996
457	ANONYMOUS, Altech Company Background, Website, 4 pages, June 16,
457	1998
458	ANONYMOUS, Altech Company Overview, Brochure, 2 pages, Undated
459	ANONYMOUS, Altech Company Overview, Brochure, 2 pages, undated
460	ANONYMOUS, Altech System Architecture Overview, Brochure, 8 pages, undated
461	ANONYMOUS, Altech Technology Backgrounder, Brochure, 7 pages, undated
462	ANONYMOUS, Altech Technology Backgrounder, Brochure 7 pages, undated
463	MIKE PHILLIPS, Designing Successful Speech Recognition Systems, Altech ppt. Presentation, 17 pages, 05/17/1992
464	ANONYMOUS, Altech Speech Forms: Product Fact Sheet, Brochure, 3 pages, undated
465	ANONYMOUS, Altech Speech Forms: Product Fact Sheet, Brochure, 3 pages, undated
466	ANONYMOUS, Altech Speech Query: Product Fact Sheet, Brochure, 3 pages, undated
467	ANONYMOUS, Altech Speech Query: Product Fact Sheet, Brochure, 2 pages, undated
468	ROLAND RACKO, Talking (To) Technology Software Development, 5 pages, July 1997
469	MARTIN KREMPASKY et al., Unisys And Periphonics Offer Natural Language Understanding In IVR Systems, Unisys News Release, 2 pages, August 27, 1996
470	MARTIN KREMPASKY et al., Unisys Unveils Unique Natural Language Understanding Program Unisys News Release, 2 pages, June 19, 1996
471	ANONYMOUS, Adapting For Success, Unisys/ From Mortgage Banking Magazine, March 1997 3 pages, March 1997
472	REX STRINGHAM, Hot Speech REC APP From Periphonics / Unisys, Computer Telephony, 1 page, April 1997
473	ANONYMOUS, Case Study, Unisys Corporation, Unisys, 1 page, undated
474	UNISYS, Glossary Of Common NL Terms, Unisys, 6 pages, undated
475	ANITA BOUNDS, Memo From A. Bounds Re: Meeting Of Oct.7 With Unisys , Bank of America memo, 1 page, 10/02/1997
476	K. DERBY Memo From K. Derby Re: Meeting With Unisys Natural Language Dialogue, Bank of America memo, 1 page, 10/09/1997

Substitute for form 1449/PTO Page 27 of 45 Application Number: 10/653,039 Filing Date: 08-29-2003 First Named Inventor: Bennett Art Unit: 2620 Examiner Name: Martin Lerner

477	K. READ, Memo To A. Bounds Re: Meeting With Unisys Natural Language
	Dialogue, Bank of America memo, 1 page, 10/09/1997
478	CHERYL ARMSTRONG, Memo From C. Armstrong Re: Meeting With Unisys
	Natural Language Dialogue, Bank of America memo, 1 page, 10/10/1997
479	UNISYS, Natural Language Assistant, Unisys Brochure, 5 pages, undated
480	NEIL GROSS, A Loan Officer Who's Almost Human, Business Week, 1
	page, June 9, 1997
481	DAVID PORTER, Adapting For Success, Mortgage Banking, 2 pages, March
	1997
482	ANONYMOUS, Natural Language Mortgage Assistant, Unisys, 2 pages, 1997
483	ANONYMOUS, Natural Language Understanding, Unisys Brochure 8 pages, 1996
484	ANONYMOUS, From website
	http://www.pcinews.com/business/pci/un/nov/alliance.html, Unisys, Enterprise
	Integration Group create alliance to 'test-drive' speech applications, 1 page,
	July 30, 1998
485	ANONYMOUS, Natural Language Speech Assistant, Catch The Newest
	Wave In Technology, Unisys Brochure, 4 pages, 1997
486	RICK BARCHARD, Teleprofessional: The New Voice User Interface For
	Inbound Services, TeleProfessional Magazine, 4 pages, undated
487	ANONYMOUS, Unisys' Natural Language Assistant, Teleconnect, 1 page,
	December 1996
488	WILLIAM MEISEL, New Version Of Unisys Speech Understanding Software,
	Speech Recognition Update, No 46, 1 page April 1997
489	ANONYMOUS, Charles Schwab And Nuance Communications Redefine
	Telephone Based Customer Information Services, Nuance Press Release, 2
	pages, September 24, 1996
490	ANONYMOUS, Nuance6, Nuance, 2 pages, undated
491	ANONYMOUS, Financial Service Solutions From Nuance, Nuance, 2
	pages, undated
492	ANONYMOUS, Nuance's Better Banking Demo, Nuance, 2 pages, undated
493	ANONYMOUS, Nuance Verifier Demo: My Voice Is My Password, Nuance,
	1 page, undated
494	ANONYMOUS, Nuance's Travel Plan Demo, Nuance, 1 page, undated
495	ANONYMOUS, Nuance's Stock Quotes Demo, Nuance, 1 page, undated
496	ANONYMOUS, Demos Overview, Nuance, 1 page, undated
497	INTERVOICE, Interactive Information Company Annual Report, 32 pages,
	1994

Substitute for form 1449/PTO Page 28 of 45 Application Number: 10/653,039 Filing Date: 08-29-2003 First Named Inventor: Bennett Art Unit: 2626

498	THOMAS BALL et al., Sisl: Several Interfaces, Single Logic, International
	Journal of Speech Technology 3, 93 108, 16 pages, 2000
499	ANONYMOUS, Inter Voice System Product Description Manual, Intervoice, 7
	pages, April15, 1995
500	ANONYMOUS, Response To Vendor Questionnaire For Charles Schwab &
	Co., Inc's Request For Information, Norstan Communications Inc., 82 pages,
	March 12, 1996
501	ANONYMOUS, Intervoice's IVR: Powerpoint Presentation, Intervoice, 2
	pages, undated
502	ANONYMOUS, Intervoice Robot Operator: System Architecture, Intervoice, 6
	pages, Oct.18, 1995
503	ANONYMOUS, Intervoice Competitive Strengths And Weaknesses, Voicetek
	Corporation, 1 page, July 1995
504	ANONYMOUS, Memo:Technical Comparison. Various Products: Intervoice &
	Meridian, Intervoice, 2 page, July 17, 1995
505	ANONYMOUS, Intervoice: General Company Information, Intervoice, 2
	page, undated
506	NEIL MARCHIN, Letter Offering Customers Free Demonstration Disk Of
	Interdial, Intervoice, 4 pages, May 28, 1996
507	ANONYMOUS, Voicedial Voice Recognition Intervoice, 2 pages, 1996
508	ANONYMOUS, Handwritten Memo Re Intervoice Presentation On CDA
	Project, Intervoice, 5 pages, 5/7/1996
509	ANONYMOUS, Help Desk Application Call Flow, Interactive Information
	Systems For Improved Customer Service, Intervoice, 6 pages, undated
510	ANONYMOUS, Human Resources Application Call Flows, Interactive
	Information Solutions For Employee Self Service, Intervoice, 6 pages,
	undated
511	ANONYMOUS, Financial Industry Application Call Flows, Interactive
	Information Systems For Direct Banking Intervoice Brochure, 8 pages,
	undated
512	ANONYMOUS, Visualconnect: Internet Solutions For Information Exchange,
	Intervoice, 2 pages, 1996
513	ANONYMOUS, In Vision: Product Description Intervoice, 2 pages, 1996
514	ANONYMOUS, Intext Text To Speech, Intervoice, 2 pages, 1996
515	ANONYMOUS, Dataconnect Fax Intervoice Brochure, 2 pages, 1996
516	ANONYMOUS, Interdial The Complete Call Center Solution, Intervoice, 5
	pages, 1996
517	ANONYMOUS, Onevoice Software Agent Platform Intervoice 7 pages, 1996
518	ANONYMOUS, Genesys Interaction Router Network Interface & Genesys

Substitute for form 1449/PTO Page 29 of 45 Application Number: 10/653,039 Filing Date: 08-29-2003 First Named Inventor: Bennett Art Unit: 2626

	Suite Overview, Genesys Company Confidential, 68 pages, undated
519	PETER TAVERNESE, Fax to Anita Bounds re: Periphonics Digital Interface
0.0	Card, 3 pages, July 2, 1997
520	NIGEL BURNS, Genesys Technical Product Overview, B. of America.
	Company Confidential, 36 pages, 08/07/1992
521	NIGEL BURNS, Re: Predictive Dialer System For Dealer Lending Division,
	Genesys Letter, 3 pages, 06/21/1995
522	NIGEL BURNS, Re:Genesys Predictive Dialer Quotation, Genesys Letter, 4
	pages, 03.13.95
523	NIGEL BURNS, Re: Genesys Campaign Manager System Genesys Letter 3
	pages, 04/04/1995
524	ANITA BOUNDS, Fax re: Genesys, In-Network Call Center Intelligence,
	Version 1.0, 26 pages, October 3, 1996
525	BRUCE RUNYAN, Consulting Services, Presentation ppt, 10 pages, 1996
526	ANONYMOUS, What Is CTI? Genesys, 20 pages, undated
527	ANONYMOUS, Handwritten Notes Re Genesys, Genesys, 6 pages, undated
528	TERRI PAGELOW, Pagelow Email,1 page, 05/07/1998
529	ANONYMOUS, Bofa Bankcard Call Center, Genesys, 61 pages, 04/16/1998
530	ANONYMOUS, A Forward Thinking Solution For Intelligent Customer
	Interaction, Product Overview, 8 pages, 1998
531	ANONYMOUS, Genesys CTI Applications On Compaz Proliant Servers,
	Compaq Corporation White Paper, 31 pages, Mar 98
532	MADELINE BODIN, Putting It All Together, Computer Telephony Expo 94, 5
	pages, 1994
533	ANONYMOUS, Genesys Corporate Overview And Product Information,
	Information Sheet, 21 pages, undated
534	DENISE DEVERELLE, Computer/Telephony Integrated Customer
	Management, Genesys Labs, 13 pages, 1994
535	ANONYMOUS, Nabnasset Corporation's Voice Enhanced Services Platform
	August 1993, Nabnasset Corporation, 16 pages, August 1993
536	ANONYMOUS, Genesys Corporate Overview, Information Sheet, 2 pages,
	undated
537	ANONYMOUS, Genesys Lab Presentation, Ppt. Presentation, 4 pages,
	03/29/1995
538	RONALD BEYNER, Letter From Beyner, T Netix, Inc To Anita Bounds
	Morgan B. Of America, Letter, 1 page, 11/14/1997
539	RONALD BEYNER, Letter From Beyner, T Netix To Don Owen, B Of
	America, Letter, 1 page, 11/14/1997
540	THOMAS SCHALK, Best Voice Verification Product: Presented To T Netix

Substitute for form 1449/PTO Page 30 of 45 Application Number: 10/653,039 Filing Date: 08-29-2003 First Named Inventor: Bennett Art Unit: 2626

	Inc.For Veri Air AVIOS'97 1 page, 09/09/1997
541	ANONYMOUS, T Netix Installs Speakez Voice Print Solution For Intrust
	Bank T Netix Press Release 2 pages, Aug.25,1997
542	TAMI LUHBY In Apparent First, Bank To ID Customers By Voice, American
	Banker, 1 page, September 10, 1997
543	ANONYMOUS, Company Overview, T Netix, 1 page undated
544	ANONYMOUS, Company Overview, T Netix, 1 page undated
545	ANONYMOUS, Speakez Voice Print Speaker Verification Description, T
	Netix, 7 pages, undated
546	WILLIAM MEISEL, Speech Verification Api Available Shortly, Speech
	Recognition Update Newsletter, 1 page, Apr 97
547	ANONYMOUS, T Netix Company Overview, T Netix, 4 pages, Oct 97
548	ANONYMOUS, T Netix Speakez Voice Print, T Netix, 4 pages, Oct 97
549	ANONYMOUS, T Netix Customer Verification Service T Netix, 4 pages, Oct 97
550	
551	ANONYMOUS, Company Overview, T Netix, 4 pages, Oct 97 ANONYMOUS, Recording Access Management (Ram), T Netix, 3 pages,
551	Oct 97
552	ELISE KOULOURIS, T Netix Voice Print Verification, T Netix Letter, 17
	pages, March 3,1997
553	BOB VIOLINO Biometrics, Information Week, 3 pages, Aug.18,1997
554	ANONYMOUS, CMA's Speaker Verification Speakez Voice Print, CMA
	Information Sheet, 5 pages, 07/07/1997
555	ANONYMOUS, RE:Biometrics, Internal Memo Posting, 1 page, 10/23/1997
556	ANONYMOUS, Speakez Voice Print Speaker Verification For The Financial And Commercial World, T Netix, 3 pages, 10/23/1997
557	ANONYMOUS, Speakez Voice Print Speakerfor The Wireline World T Netix,
"	3 pages, Oct 97
558	ANITA BOUNDS, Fax Re Basic Information On T Netix And Voice ID
	Technology, Bank of America 1 page, 10/24/1997
559	ANONYMOUS, Speak Ez Voice Print: Speaker Verification Technology, T
	Netix 6 pages, 10/23/1997
560	ANONYMOUS, Vpu Vendor Comparison Matrix, Periphonics, 36 pages, July
""	1996
561	MARY LENZ, Periphonic's Periproducer: Software Overview, Magazine
1	article: Technology News Section, 1 page, undated
562	ANONYMOUS, General Information, Periphonics, 2 pages, undated
563	MELINDA PHILLIPS, Competitive Strengths And Weakness Periphonics,
	Marketing Dept., 8 pages, July 1995

Information Disclosure Statement By Applicant Substitute for form 1449/PTO

Substitute for form 1449/PTO Page 31 of 45 Application Number: 10/653,039 Filing Date: 08-29-2003 First Named Inventor: Bennett Art Unit: 2626 Examiner Name: Martin Lerner

564	ANONYMOUS, Oscar Feature: Document Revision History, Periphonics, 22 pages, 13 May 96
565	RONALD MARCELLE, Letter & Product Literature Sent To Anita Bounds, B Of America, Periphonics, 23 pages, 13 Jun 1995
566	RONALD MARCELLE, Letter & Product Literature Sent To Bank Of America, Anita Bounds Periphonics, 5 pages, 13 Jun 1995
567	ANONYMOUS, Vps/Vas Product Description Periphonics, 48 pages, 28 Jan 1994
568	ANONYMOUS, VPS/Sp Product Description Periphonics, 52 pages, 04 Apr 1994
569	ANONYMOUS, People's Bank Saves \$500,000 Each Year With Voice Processing Applications, Magazine article: Technology News Section, 2 pages, July 19, 1993
570	ANONYMOUS, Nation's 8th Largest Bank Implements Voice Processing System To Streamline Employee Benefits Processes, Periphonics, 2 pages, undated
571	ANONYMOUS, Glendale Federal Savings Offers High Quality Services Using Voice Technology, Periphonics, 2 pages, undated
572	ANONYMOUS, Voice Processing Series Vps 7016 7500 9000 9500, Periphonics 2 pages undated
573	ANONYMOUS, Voice Processing Series/Sp VPS/Sp 7500 9000 9500, Periphonics, 2 pages, undated
574	ANONYMOUS, Voice Processing Series/Voice Application Server Periphonics, 2 pages, undated
575	ANONYMOUS, Voice Processing Series: Network Administrator Vma 2000 Periphonics 2 pages, undated
576	ANONYMOUS, Periphonics Launches High Performance Risc Based Voice Processing System, Periphonics News, 2 pages, Feb.28,1994
577	ANONYMOUS, Periphonics Expands Voice Processing System Product Line, Periphonics, 2 pages undated
578	ANONYMOUS, Periphonics Announces Strategic Alliance With VCS, Periphonics, 2 pages, Undated
579	ANONYMOUS, Continuous, Shared Resource Speech Recognition In American And British Accents Offer Voice Response System Users A Cost Effective Alternative Access Method, Periphonics, 1 page, undated
580	ANONYMOUS, Text To Speech Broadens Interactive Voice System Applications, Periphonics, 1 page, undated
581	ANONYMOUS, Charles Schwab Relies On Periphonics Corporation For Nationwide Automated Automated Stock Trading System, PeriGram,

Substitute for form 1449/PTO
Page 32 of 45
Application Number: 10/653,039
Filing Date: 08-29-2003
First Named Inventor: Bennett
Art Unit: 2626

	Newsletter of Periphonics, 6 pages, Fall/Winter 1993
582	ANONYMOUS, Technology Briefing: Banking In The Future With Speech
	Recognition, Periphonics, 16 pages, undated
583	ANONYMOUS, Dialogic Products And Services Guide, 3rd Party Antares
	Software Dialogic, 16 pages, undated
584	C. ALLISON et al., The Corporate Call Center: Much More Than Call
	Handling, The Gartner Group Strategic Analysis Report, 58 pages, 16 May 1996
585	CANDACE KAMM, et al., Design And Development Of A Spoken Dialogue
	Systems, ASRU'97, 24 pages, Dec.25,1997
586	ALAN HUNT, A Speaker Independent Telephone Speech Recognition
	System: The VCS Telerec, Speech Technology, 3 pages, Mar/Apr.1988
587	ANONYMOUS, Vpu Hardware Analysis Periphonics Vps/ls, Periphonics, 17 pages, 12 Apr 1996
588	ANONYMOUS, Product Review: Voice Processing Series, Periphonics, 1
-	page, 1996
589	ANONYMOUS, Product Review VPS/Is 7000 9000 75000 9500 Voice
	Processing Series Periphonics, 4 pages, 10/07/1997
590	ANONYMOUS, Vps/Vas Voice Processing Series (Voice Application
	Server), Periphonics, 4 pages, 10/07/1997
591	ANONYMOUS, Product Review Vps/Cti Voice Processing Series Computer
	Telephony Integration, Periphonics, 3 pages 10/07/1997
592	MELINDA PHILLIPS Competitive Strengths And Weakness Periphonics,
	Marketing Dept., 9 pages, July 1995
593	ANONYMOUS, A Few Words About Periphonics, Periphonics, 2 pages,
	undated
594	ANONYMOUS, Voice Processing Series/Voice Application Server,
	Periphonics, 2 pages, undated
595	ANONYMOUS, New Products: The Model VPS/Sp, Periphonics, 2 pages,
	undated
596	ANONYMOUS, Voicebroker Request For Proposal, Charles Schwab 16
	pages, 29 Mar 1995
597	ANONYMOUS, Aculab: Your Connection To The Future, Aculab, 26 pages,
	undated
598	ANONYMOUS, New Study: Automatic Speech Recognition For Telephony
	Applications World Wide Market: 1993 2001, VIA Market Research Study 2
	pages, undated
599	ANITA BOUNDS, Letter From Bofa To Voice Information Systems Returning
	ASR Report For 1993 2001, Bank of America, 2 pages, 16 Jan 1998

Substitute for form 1449/PTO Page 33 of 45 Application Number: 10/653,039 Filing Date: 08-29-2003 First Named Inventor: Bennett Art Unit: 2626

600	ANONYMOUS, Invoice To B Of America For Automatic Speech For
	Telephony Applications, ASR News, Nov. 1997
601	ANONYMOUS, Automatic Speech Recognition For Telephony Applications 1993 2001, VIA Voice Information Associates, 92 pages, undated
602	ANONYMOUS, Invoice To B Of America For Automatic Speech For Telephony Applications ASR News 2 pages, Nov.1997
603	MARK PHILLIPS, Fax Re: Future Directions. Spoken Language Generation , MIT Lab for Computer Science, 4 pages, 06/09/1994
604	ANONYMOUS, Memo Abstract For Forum Let's Talk About Speech, AMEX, 2 pages, Feb.2, 1994
605	ANITA BOUNDS, Session 57: Let's Talk About Speech, ICA EXPO'94 Dallas, 3 pages, 22 May 94
606	ANITA BOUNDS, Fax Regarding Speech Recognition , AMEX, 26 pages, Sept.12,1994
607	ANONYMOUS, History Of Automated Speech Recognition American Express, AMEX, 10 pages, Nov 92
608	ANONYMOUS, Automated Speech Recognition 3rd Q Report, TRS Advanced Tech Group, 9 pages, 1991
609	ANONYMOUS, Automatic Speech Recognition 3 rd Quarter 1991 Report, TRS Advanced Tech Group, 11 pages, 1991
610	CHRIS RISING Memo Re ASR 3rd Q 1991 R&D Report, AMEX TCG New Products, 3 pages, 10/07/1991
611	ANONYMOUS, 1991 R&D Proposal Natural Language Asr, AMEX, 5 pages, 1991
612	ANONYMOUS, 1991 Revised R&D Proposal Natural Language ASR, AMEX 11 pages, 02/26/1991
613	ANONYMOUS, Asr Year End Report. Project No:Krc Rd/90 Aisr3, TRS Advanced Tech Group, 30 pages, 1990
614	ANITA BOUNDS, Memo De ASR Technology Assessment, AMEX Advanced Tech, 7 pages, Dec.26, 1990
615	ANONYMOUS, Asr R&D Project Review 1989 / 1990, AMEX, 9 pages, 8/20/80
616	ANONYMOUS, Automated Speech Recognition 3rd Q Report Project KRC, TRS Advanced Tech Group Ppt Presentation, 9 pages, 1990
617	ANONYMOUS, 1989 R And D 2nd Qtr Report, AMEX Advanced Tech, 13 pages, Jul 89
618	ANITA BOUNDS, Schedule For CAS ASR Trial, Bank of America, 2 pages, 27 Jun 89
619	ANONYMOUS, 1989 R&D Quarterly Report, AMEX Advanced Tech, 13

Substitute for form 1449/PTO Page 34 of 45 Application Number: 10/653,039 Filing Date: 08-29-2003 First Named Inventor: Bennett Art Unit: 2626

T T	pages, Apr 89
620	ANONYMOUS, Limited Automated Speech Recognition (ASR) Research And
020	Development Project TRS Worldwide Telecommunications 3 pages, Feb.
	1989
621	C. SINHA, Automatic Speech Recognition (Asr) Trial System: Manual
021	Method, AMEX, 5 pages, 07 Mar 89
622	ANONYMOUS, Specifications Document Asr Trial Operations Vpc Algorithm,
022	AMEX, 4 pages, undated
623	C. SINHA, Automatic Speech Recognition (Asr) Trial System Statistics Data
023	File Format Specification AMEX, 5 pages, 22 Mar 89
624	ANONYMOUS. World Wide Telecommunications: Asr Status. CCG Staff
024	Meeting, 1 page, Mar 89
625	ANITA BOUNDS et al., Final Technology Report 1988, AMEX TRS, 50
025	pages, Jan 89
626	ANONYMOUS, 1989 Proposal Abstract Speaker Independent Speech
020	Recognition, AMEX Travel, 5 pages, 1989
627	
627	ANONYMOUS, Speech Recognition Development, Advanced Technology
200	Monthly Status Report, 2 pages, Nov.1998
628	ANONYMOUS, Advanced Technology 1988 R&D Quarterly Report, TRS
	Advanced Technology, 11 pages, Oct 1998
629	RIGSBY BARNES et al., 1994 R&D Proposal, AMEX, 10 pages, 01/26/1994
630	ANONYMOUS, Alternative Access Methods For Premium And Business
	Travel, TRS Advanced Tech Group Ppt Presentation, 8 pages, undated
631	VICTOR ZUE et al., Pegasus: A Spoken Dialogue Interface For On Line Air
	Travel Planning, Intl Symposium on Spoken Dialogue, Waseda Univ. Tokyo,
	Japan, 4 pages, Nov.10 12, 1993
632	GARY MCWILLIAMS, Computers Are Finally Learning To Listen, Business
	Week, Science & Technology Section, 2 pages, Nov.1, 1993
633	GABRIELLE WATSON, Friendly Chats With Computers, Technology
	Review, 3 pages, May/June 1992
634	MICHAEL PHILLIPS, Letter To A. Bounds Re: Video Of Latest Research
	Summary, MIT Lab for Computer Science, 2 pages, Feb.11,1994
635	ANITA BOUNDS, Memo Re TSC Automation Project, TRS Advanced
	Technology, 33 pages, 06 May 88
636	ANITA BOUNDS, Memo De TSC Automation Project, TRS Advanced
	Technology 9 pages, 06 May 88
637	BOB MERCURIO, Fax Of Draft ASR Article Speech Recognition A
	Technology Ready For Use Now, AMEX Travel, 9 pages, 04/12/1991
638	ANONYMOUS, Project Schedules. Voice Recognition/Voice Response,

Substitute for form 1449/PTO Page 35 of 45 Application Number: 10/653,039 Filing Date: 08-29-2003 First Named Inventor: Bennett Art Unit: 2626

	AMEX Advanced Tech, 12 pages, 1985-87
639	ROBERT REID, Letter To Anita Bounds, AMEX Re VR/VR Proposal, Logica,
033	2 pages, 09/04/1985
640	ANONYMOUS, VR/VR Documents, Logica, 4 pages, undated
641	ANONYMOUS, Voice Recognition/Voice Response Specification, Logica, 56 pages, 06/06/1985
642	ANONYMOUS, Minutes Bidders Conference Voice Recognition/Voice Response, AMEX Advanced Tech, 2 pages, 26 Jun 85
643	ANONYMOUS, Voice Recognition/Voice Response Benchmark Test Criteria, Logica, 21 pages, 1985
644	OSCARYIR, ZAWISLAK, Memo Re: Amex Vr/Vr Proposal, Logica, 5 pages, 07/25/1985
645	OSCARYIR, ZAWISLAK, Memo Re: Questions Pertaining To AMEX VR/VR Project Proposal, Logica, 3 pages, 07/23/1985
646	R. REID, Report On 7/9/85 Meeting AMEX, Logica, 4 pages, 07/11/1985
647	ANONYMOUS, Proposed Logica System Flowchart, Logica, 3 pages, 07/24/1985
648	HASIM OZCAYIR, AMEX VR/VR Mother System Software Components Function List, Logica, 5 pages, 07/29/1985
649	ANONYMOUS, AMEX VR/VR Mother System Software Components Function List, Logica, 4 pages, 07/29/1985
650	ROBERT J. ZAWISLAK, AMEX VR/VR Mother System Software Components Function List, Logica, 5 pages, 07/29/1985
651	ANONYMOUS, Diagrams Of Proposed AMEX VR/VR System, Logica, 2 pages, 1985
652	ANONYMOUS, Amex Mother System Proposal Draft 2, Logica, 3 pages, 08/05/1985
653	ANONYMOUS, ICA '94 Tutorial Abstract On Voice Processing, AMEX Advanced Tech, 5 pages, 01/18/1994
654	ANONYMOUS, Abstract Re: Tutorial On Voice Processing Services, AMEX Advanced Tech, 72 pages, Feb.2, 1994
655	ANITA BOUNDS, Memo Re Visit To Thomas Cook, AMEX TRS, 2 pages, 25 Aug 94
656	WILLIAM O'FARRELL, Altech Letter To AMEX Re Proposal, AMEX, 2 pages, Sept 12 1994
657	C. SINHA, Automatic Speech Recognizer Evaluation Criteria And Setup. Version 0.1, AMEX, 5 pages, Oct.8, 1992
658	ANONYMOUS, Special Report: Voice Input Technologies Technical Analysis Specification, Bellcore, 25 pages, 01 Mar 92

Substitute for form 1449/PTO Page 36 of 45 Application Number: 10/653,039 Filing Date: 08-29-2003 First Named Inventor: Bennett Art Unit: 2626 Examiner Name: Martin Lerner

	659	VICKI WALSTROM, Memo To Bob Eyres, Re: MIT Lab Development Of ASR, AMEX: Walstrom, 3 pages, Apr 1992
	660	VICTOR ZUE, Human Computer Interactions Using Language Based
	000	Technology, MIT Presentation, 8 pages, undated
	661	ROY LOWRANCE et al., Memo Re Corporate R&D Funding For 1990
	""	Speaker Independent Recognition Project, AMEX Advanced Tech, 2 pages,
		22 Jan 1990
	662	ANONYMOUS, Speaker Independent Recognition Applied Research
		Proposal, AMEX Advanced Tech, 5 pages, 01/12/1990
	663	BARBARA SOHN, 1990 Speech Recognition Project Extension Request,
		Lehman Brothers, 1 page, 6 Feb 1992
	664	ROY LOWRANCE et al., Corporate R&D Funding For 1990, AMEX Memo,
		8 pages, Feb 28,1990
	665	ANNABELLE BEXIGA, Speaker Independent Second Quarter Report,
		Lehman Brothers, 7 pages, Aug.9, 1990
	666	ANONYMOUS, 1994 Request For Funding For NLS Recognition, AMEX
		TRS, 5 pages, 1994
	667	ANITA BOUNDS, Potential Automated Travel Reservation Project, AMEX
		TRS Memo, 16 pages, Oct. 7, 1993
	668	ANITA BOUNDS, Mail Re: Voice Recognition, 2 pages, 06/23/1994
	669	STEVE BONNELL, Note To Anita Bounds From Steve Bonnell Re: Voice
		Recognition, 1 page, 06/22/1994
	670	ANITA BOUNDS, Note To Steve Bonnell Re:IME, 1 page, 07/06/1994
	671	STEVE BONNELL, Note To Anita Bounds From Steve Bonnell Re: Voice
		Recognition, 2 pages, 06/17/1994
	672	ANITA BOUNDS, Fax Re: Project Schedule And Costs, AMEX Advanced
		Technology Group, 4 pages, 09/09/1994
	673	ANONYMOUS, Advanced Technology Group, Voice Processing Product
		Standards Document, AMEX TRS, 6 pages, 27 Jun 88
	674	ANITA BOUNDS et al., CAS ASR Architecture Report Final Draft, AMEX
		TRS Memo, 31 pages, Jan.17, 1990
	675	ANONYMOUS, Advanced Technology Group, Operational Test And
		Evaluation (OT&E) Plan For ASR, AMEX TRS Draft, 51 pages, Jan.5, 1990
	676	C. SINHA, ASR Systems Evaluation Criteria And Methodology, AMEX ASR
		Group, 19 pages, Nov.3, 1989
	677	MARK HELSEY, VR/VR Cas Application Host Requirements
		Specifications, 29 pages, Oct. 26, 1986
	678	DARIUS IRANI et al., Amex Cas VR/VR System VR1 System Functional
l		Specification, Logica, 69 pages, 19 Jan 87

Substitute for form 1449/PTO Page 37 of 45 Application Number: 10/653,039 Filing Date: 08-29-2003 First Named Inventor: Bennett Art Unit: 2626 Examiner Name: Martin Lerner

679	ANITA BOUNDS, Travel Service Delivery Speech Recognition Project Functional Specification Document, AMEX TRS Memo, 2 pages, 24 May, 1994
680	RICH SIEMBORSKI, Letter To Anita Bounds Re: AVIOS Paper, 1 page, 07/26/1994
681	BOB MERCURIO, Memo To Anita Bounds Re: Adv. Tech Activity, 1 page, 09/25/1994
682	ANONYMOUS, Travel Service Delivery Re Engineering Project Agent-less Travel Inquiry And Booking, 1 page, undated
683	ANITA BOUNDS, ASR Potential Projects, AMEX TRS Memo, 6 pages, 21 Feb 91
684	ANITA BOUNDS, Pending Tasks For ASR Group, AMEX TRS Memo, 4 pages, July 1, 1992
685	ANITA BOUNDS, ASR Projects, AMEX TRS Memo, 5 pages, 13 Jul 92
686	ANONYMOUS, R&D Review, 1989 And 1990 Results Analysis, Amex ppt. Presentation, 9 pages, 08/20/1990
687	ANONYMOUS, Alternative Input Inquiry, Ouellette& Associates, Consulting, 1 page, undated
688	ANITA BOUNDS, Fax To Rigsby Barnes Re:1994 Speech Recognition R&D Proposal, AMEX, 14 pages, 10/05/1993
689	ANONYMOUS, Speech Recognition: Implications For AMEX, AMEX, 1 page undated
690	ANITA BOUNDS, Mail Re: Voice Recognition, WROC, 1 page, 06/14/1994
691	STEVE BONNELL, Note To Anita Bounds From Steve Bonnell Re: Voice Recognition, WROC, 1 page, 06/16/1994
692	DENIS PERRACHIO, Memo To Anita Bounds, AMEX, 3 pages, 06/15/1994
693	ANITA BOUNDS, Mail Re: Vendor List For RFI For Agentless, AMEX, 1 page, 10/26/1994
694	ANITA BOUNDS, Mail Re: Vendor List For RFI For Agentless, AMEX, 2 pages, 10/26/1994
695	RICH SIEMBORSKI, Note To Anita Bounds Re: Speech Recognition, 1 page, 06/03/1994
696	ROBERT HOSS, Letter To Anita Bounds Re Note Of 5/17/94, 1 page, 05/19/1994
697	MARK PHILLIPS, Fax To Anita Bounds Re: Collaboration Between AMEX And NNY, MIT, 5 pages, 05/25/1994
698	ANITA BOUNDS, Trip Report April 5 14, 1994 To Neural Net Conference, AMEX TRS Report 3 pages, 1994
699	ANONYMOUS, Electronic Vision For Customer Access, AMEX, 13 pages,

Information Disclosure Statement By Applicant Substitute for form 1449/PTO

Substitute for form 1449/PTO Page 38 of 45 Application Number: 10/653,039 Filing Date: 08-29-2003 First Named Inventor: Bennett Art Unit: 2626

	undated
700	ANONYMOUS, Cas/VR/Ani Project Update, AMEX TRS Memo, 3 pages,
	23 Jul 91
701	STEVE KARL, ANI/CAS/Voice Response — Project Update #4, AMEX TRS
	Memo, 9 pages, Sept.16, 1991
702	STEVE KARL, ANI/CAS/Voice Response — Project Update #5, AMEX TRS
	Memo, 8 pages, Oct.1,1991
703	STEVE KARL, ANI/CAS/Voice Response — Project Update #6, AMEX TRS
	Memo, 7 pages, Oct.10, 1991
704	STEVE KARL, ANI/CAS/Voice Response — Project Update #7, AMEX TRS
	Memo, 2 pages, Oct.25, 1991
705	STEVE KARL, ANI/CAS/Voice Response — Project Update #7, AMEX TRS
	Memo, 8 pages, Oct.25, 1991
706	ANONYMOUS, Natural Language Speech Recognition. Functional
	Description Document Version 1.2, AMEX, 29 pages, undated
707	ANONYMOUS, Travel Service Delivery Re Engineering, AMEX, 20 pages,
	undated
708	ANONYMOUS, Credit Card On Line Object Server High Level Design, Bank
	of America, 18 pages, 30 Jul 96
709	ANONYMOUS, IBPC/Call Center/FRM Vision Architectural Convergence,
	Bank of America, 17 pages, 03/18/1997
710	JOHN EDMONSON, Re: Call Volumes, Bank of America, 2 pages,
744	02/04/1998
711	ANONYMOUS, GRB Universal Workstation High Level Business Function Definitions, Bank of America, 5 pages, 01/20/1998
712	
/12	ANONYMOUS, Technology Center: Bankers On Call Architecture, Bank of America, 5 pages, undated
713	ANONYMOUS, Desktop Function Migration Path, Bank of America, 1
/ 13	page, 03/07/1997
714	ROBERT GOTO, Implementation Strategy, Bank of America, 16 pages, 17
'''	Mar. 1997
715	ANITA BOUNDS, Re: Bus And Processor Architecture For The SUN/CAM
	System, Bank of America, 31 pages, Nov.22,1996
716	ANITA BOUNDS, Intervoice Fonerac 5000 Config., Bank of America, 3
	pages, 26 Jun 1997
717	ANONYMOUS, Voice Services Task Force Meeting: Agenda, Bank of
	America, 8 pages, 25 Jun 1997
718	EDDIE MEEKS, Letter To Dean Bell, Intervoice, 2 pages, 24 Jun 97
719	ANONYMOUS, Important Vpu Hardware Aspects, Bank of America, 7

Information Disclosure Statement By Applicant Substitute for form 1449/PTO

Substitute for form 1449/PTO
Page 39 of 45
Application Number: 10/653,039
Filing Date: 08-29-2003
First Named Inventor: Bennett
Art Unit: 2626

		pages, 06/16/1996	
	720		
	,	25 Jun 1997	
	721	ANONYMOUS, RFP Evaluation Form – CTI, Bank of America, 1 pages.	
		ANONYMOUS, RFP Evaluation Form – CTI, Bank of America, 1 pages, undated ANONYMOUS, Computer Telephony Interface Cost Analysis, Voice Services Taskforce, 2 pages, 06/24/1997	
	722	ANONYMOUS, Computer Telephony Interface Cost Analysis, Voice	
		Services Taskforce, 2 pages, 06/24/1997	
	723	ANONYMOUS, Component Weighting Matrix, Voice Services Taskforce, 6	
	724	ANONYMOUS, Automated Call Distribution System, Voice Services	
	725		
	726		
	727		
	728		
	729		
	730		
	731		
	732		
	700		
	733		
	704		
	734		
	735		
	735		
	736		
	/36		
	737		
	131	pages, 11.05.95	
	738	ANONYMOUS, Voicebroker Functional Specification, Charles Schwab, 27	
	/36	pages, Nov. 3, 1995	
-	739	RON CROEN, Say Hello To Speech Recognition, Telemarketing & Call	
	1 138	TON ONOLIN, day nello to opecut necognition, relemanding & Call	

Substitute for form 1449/PTO Page 40 of 45 Application Number: 10/653,039 Filing Date: 08-29-2003 First Named Inventor: Bennett Art Unit: 2626 Examiner Name: Martin Lerner

	Center Solutions, Vol 16, Iss.9, p.110, 5 pages, Mar 1998
740	DAN FURMAN, Hear And Now, Telephony, Vol.236, Iss.23, p.230, 3
	pages, 07 Jun 1999
741	BUSINESS EDITORS, Fidelity Investments Unveils New Suite Of
	Brokerage Offerings, Business Wire, 3 pages, 25 May 1999
742	ANONYMOUS, Philips Catapults To World's Leader In Speech Recognition
	For Telecom Market, PR Newswire, 5 pages, Oct. 13, 1999
743	BUSINESS EDITORS, Forbes Magazine Reviews One Voice
	Technologies, Business Wire, 2 pages, Nov.4, 1999
744	BUSINESS/TECHNOLOGY EDITORS, On The 30th Anniversary Of The
	First Moon Landing, Speechworks Defines A New Site — Speechsite,
	Business Wire, 5 pages, 20 Jul 1999
745	ANONYMOUS, Delta Express Debuts Voice Driven Reservations System
	With Technologies From Edify And Nuance, PR Newswire, 3 pages, Oct.25,
	1999
746	SCOTT TIAZKUN, Nuance Gives Voice To CRM, Computer Reseller News,
	Iss.857, 3 pages, Aug.30, 1999
747	NILS LENKE, Build NL Applications That Go Beyond 'Speech Recognition':
	Giving Power To The Human Voice, Unisys World, Vol 20, Iss 10, 4 pages,
	Oct.1999
748	JOSEPH YAWORSKI, Speech Driven Computing Technologies May Soon
	Become A Part Of Everyday Life, Unisys World Vol.20, Iss.10, 3 pages,
	Oct.1999
749	NICHOLAS CRAVOTTA, Speech Recognition: It's Not What You Say; It's
	How You Say It, EDN Vol.44, Iss.13, 8 pages, 24 Jun 1999
750	ALAIN SHERTER, Speech Recognition Speaks Volumes, Bank Technology
	News, Vol. 12, Iss.8, 4 pages, Aug.1999
751	ANONYMOUS, Speechworks Host 1.1, Call Center Solutions, Vol.17,
	Iss.10, 5 pages, Apr 1999
752	BUSINESS/TECHNOLOGY EDITORS, Speechworks International Delivers
	Perfect Compliment To Web Enabled E Commerce With Launch Of
	Speechworks 5.0, Business Wire, 4 pages, 25 May 1999
753	BUSINESS AND HIGH TECH EDITORS, Mapquest.Com & Speechworks
	To Develop First Speech Recognition Application For Accessing Driving
	Directions Over The Phone, Business Wire, 3 pages, Sept.13, 1999
754	ANONYMOUS, Natural Language Speech Assistant 3.0, Call Center
	Solutions, Vol.17, Iss.11, 4 pages, May 1999
755	ANONYMOUS, Nuance And Oracle Bring Multi Channel Access To
	Application Service Providers, PR Newswire, 2 pages, July 27,1999

Substitute for form 1449/PTO
Page 41 of 45
Application Number: 10/653,039
Filing Date: 08-29-2003
First Named Inventor: Bennett
Art Unit: 2626
Examiner Name: Martin Lerner

Attorney Docket Number: PH099004CIP

| 756 | ANONYMOUS, Nuance Ushers In New Age Of Communications With

	Voyager Voice Browser, PR Newswire, 3 pages, Oct.5, 1999
757	ANONYMOUS, Nuance V-Builder Makes It Easy To Provide Voice Access
	To Web Sites Over The Telephone, PR Newswire, 3 pages, Oct.5, 1999
758	ANONYMOUS, INTERVOICE BRITE Unveils INNERVIEW IVR Reporting
	Package, Audiotex Update Vol.12, Iss.9, 2 pages, Sept.1, 2000
759	ANONYMOUS, Nuance Delivers V Builder 1.0 And Launches Voice Staging
	Center On The Web, Call Center CRM Solutions, Vol.19, Iss.2, 2 pages,
	Aug. 2000
760	ANONYMOUS, E Businesses Can't Ignore Telephone Access, Electronic
	Commerce News, Vol.5, Iss.10, 3 pages, Mar.6, 2000
761	ANONYMOUS, Bell Offers Digitally Enhanced Customer Service, Marketing
	Magazine, Vol.108, Iss.21, 2 pages, 02 Jun 2003
762	BUSINESS AND HIGH TECH EDITORS, Canada's Largest
	Telecommunications Provider Calls On Nuance Speech Solutions For
	Excellence In Customer Care, Business Wire, 3 pages, Jan.16, 2003
763	JANICE DINEEN, Dialing For Distraction; [SA2 Edition], Toronto Star. 4
	pages, Mar.17, 1990
764	ANONYMOUS, Introducing Emily, The New Voice Of Bell Canada, Canada
	NewsWire, Ottawa, 2 pages, Jan.16, 2003
765	GARY LAMPHIER, Ma Bell Adopts Codebaby Virtual Agent [Final Edition],
	Edmonton Journal, 3 pages, Feb.1,2005
766	ANONYMOUS, Speech Integration Module Nuance Version 7
	Administrator's Guide, Intervoice, 138 pages, 2003
767	RICHARD BRAY, Talk Back, Summit, Ottawa, Fall 2003, 4 pages, 2003
768	BRUCHE GILLESPIE, That's Ms. Data To You: Quicker, More Accurate,
	And Never Sounds Grumpy, National Post, Don Mills, Ontario, 3 pages, 05
	May 03
769	ROSENTHAL et al., A Multiline Computer Voice Response System Utilizing
	Adpcm Coded Speech, IEEE Transactions on Acoustics, Speech and Signal
	Processing, Vol. ASSP 22, No.5, 14 pages, Oct.1974
770	LAWRENCE RABINER, Applications Of Voice Processing To
	Telecommunications, Proc. Of IEEE, Vol.82,No.2, 30 pages, Feb 94
771	LAWRENCE RABINER, The Role Of Voice Processing In
	Telecommunications, IEEE Workshop on Interactive Voice Technology, 8
	pages, Sept. 1994
772	CANDACE KAMM et al., The Role Of Speech Processing In Human
	Computer Intelligent Communication AT&T Labs Research, 26 pages,
	undated

Substitute for form 1449/PTO
Page 42 of 45
Application Number: 10/653,039
Filing Date: 08-29-2003
First Named Inventor: Bennett
Art Unit: 2626
Examiner Name: Martin Lerner

7	73 LAWRENCE RABINER, The Power Of Speech, Science, Vol. 301, p.1494, 2 pages, Sept.12, 2003
7	
	Conversational Interface To A Browser, WWW6 Conference, Santa Clara, 25
	pages, 1997
7	75 ANONYMOUS, Securities Trading By Voice, SRI International, 1 page,
	1996
7	76 ANONYMOUS, Speech Technology And Research, SRI International, 2
	pages, 1996
7	77 ANONYMOUS, Voice Banking, SRI International, 1 page, 1996
7	78 ANONYMOUS, Voice Interactive Language Instruction And Evaluation, SRI
	International, 1 page, 1996
7	
	International, 3 pages, undated
7	80 F. JELINEK et al., A Dynamic Language Model For Speech Recognition,
	IBM Research Division, 3 pages, undated
7	
	Computer Laboratory, University of Cambridge, England, 188 pages, Mar 93
7	
	MIT Voyager System, Spoken Language Systems Group Laboratory for
2 pages, Se 774	Computer Science, MIT, 29 pages, undated
7	
	For Non Experts, Master's Thesis, submitted to the Department of Electrical
	Engineering and Computer Science at MIT, 72 pages, August 2000
7	
	Thesis, submitted to the Department of Electrical Engineering and Computer
	Science at MIT, 86 pages, May 1997
7	
	Hypertext Navigation, Spoken Language Systems, MIT Laboratory for
	Computer Science, 12 pages, 1997
7	
	pages, undated
7	
	Spoken Language Systems Group, 2 pages, undated
7	
	Spoken Language Systems Group, 1 page, undated
7	
	Spoken Language Systems Group, 1 page, undated
7	90 ANONYMOUS, SLS Research: SLS Technologies: Language Generation

Substitute for form 1449/PTO Page 43 of 45 Application Number: 10/653,039 Filing Date: 08-29-2003 First Named Inventor: Bennett Art Unit: 2626 Examiner Name: Martin Lerner

		Spoken Language Systems Group, 1 page, undated
	791	
	792	
	793	
	1	
	794	
Spoken Language Systems Group, 2 pages, undated 792 ANONYMOUS, SLS Research: SLS Technologies Spoken Language Systems Group, 3 pages, undated 793 ANONYMOUS, SLS Research: SLS Technologies: Natural Language Understanding, Spoken Language Systems Group, 1 page, undated 794 ANONYMOUS, SLS Research: SLS Telephony Applications: Jupiter, Spoken Language Systems Group, 5 pages, undated 795 ANONYMOUS, SLS Research: SLS Telephony Applications: Mercury, Spoken Language Systems Group, 5 pages, undated 796 MARGOT PEET, Spoken Language Interfaces Gaining Acceptance As Technology Matures, The Edge, Vol.3,No.4, 12 pages, December 1999 797 SAMUEL BAYER et al., Communicator Testbed: A Research Tool, MITR 22 pages, 11/14/98 798 ANONYMOUS, DARPA Communicator Documentation And Briefings, Webpage, 1 page, August 1999 799 DAN LOEHR et al., The Role Of The DARPA Architecture in The Human Computer Interface in Distributed Simulations, The MITRE Corporation, 14 pages, 1999 800 JOHN ABERDEEN et al., The MITRE Travel System, DARPA Communicator Meeting, 21 pages, June 1999 801 SAMUEL BAYER et al., Five Minute MITRE: Resource Update, DARPA Communicator Meeting, 10 pages, June 1999 802 SAMUEL BAYER et al., Five Minute MITRE: Resource Update, DARPA Communicator Kickoff, 20 pages, January 1999 803 SAMUEL BAYER et al., External Standards, DARPA Communicator Kickoff, 20 pages, January 1999 804 SAMUEL BAYER et al., External Standards, DARPA Communicator Kickoff, 27 pages, Bayer et al. 805 SAMUEL BAYER et al., Darpa Communicator Architecture, MITRE, 15 pages, November 4, 1999 806 R.C. MOORE et al., Commandtalk: A Spoke Language Interface For Battlefield Simulations, SRI International, 7 pages, undated 807 G. DI FABRIZZIO et al., Extending A Standards Based IP And Computer Telephony Platform To Support Multi Modal Services, AT&T Labs, 4 pages undated 808 ANONYMOUS, American Airlines Deploys Natural Language Speech		
	795	
		Spoken Language Systems Group, 3 pages, undated
	796	
	797	SAMUEL BAYER et al., Communicator Testbed: A Research Tool, MITRE,
		22 pages, 11/14/98
	798	ANONYMOUS, DARPA Communicator Documentation And Briefings,
		Webpage, 1 page, August 1999
	799	DAN LOEHR et al., The Role Of The DARPA Architecture In The Human
		Computer Interface In Distributed Simulations, The MITRE Corporation, 14
	800	JOHN ABERDEEN et al., The MITRE Travel System, DARPA
		Communicator Meeting, 21 pages, June 1999
	801	
	802	SAMUEL BAYER et al., MITRE Communicator Resources, DARPA
	803	SAMUEL BAYER et al., External Standards, DARPA Communicator
	804	SASHA CASKEY et al., Darpa Communicator Architecture, MITRE, 15
	805	
	806	JOHN DOWDING et al., Gemini: A Natural Language System For Spoken
	807	G. DI FABRIZZIO et al., Extending A Standards Based IP And Computer
		Telephony Platform To Support Multi Modal Services, AT&T Labs, 4 pages,
	808	
		Recognition System With Technology From Periphonics And Nuance, Press

Substitute for form 1449/PTO Page 44 of 45 Application Number: 10/653,039 Filing Date: 08-29-2003 First Named Inventor: Bennett Art Unit: 2626 Examiner Name: Martin Lerner

т т	
	Release, 4 pages, July 29, 1998
809	ANONYMOUS, Nuance Developer's Toolkit, Nuance, 2 pages, 1998
810	R. COLIN JOHNSON, Nuance Expands Speech Recognition Technology,
	Technology News, 4 pages, February 22, 1999
811	ANONYMOUS, Platforms Natural Language Speech Recognition Systems
	From Nuance Communications, Nuance ,Communications, 1 page, 1999
812	ANONYMOUS, Nuance Conversational Transactions Suite, Nuance
	Communications, 6 pages, October 1998
813	BRIAN QUINTON, Reach Out And Touch The Web, New Media, 4 pages,
	October 19, 1998
814	ANONYMOUS, Nuance And Edify To Promote One Stop Web And V
	Commerce Applications, Press Release, 3 pages, December 21, 1998
815	MINAMI, YASUHIRO et al., A Large-Vocabulary Continuous Speech
	Recognition Algorithm and its Application to a Multi-Modal Telephone
	Directory Assistance System, NTT Human Interface Laboratories, 6 pages,
	1994
816	ANONYMOUS, Highly Scalable and Robust Architecture, Nuance
	Communications, 2 pages, undated
817	ANONYMOUS, Introducing Nuance Voyager and Nuance V-Builder, Nuance
	Communications, 2 pages, undated
818	R. COLIN JOHNSON, Voice Recognition Added to Web-based Businesses, 2
	pages, November 16, 1998
819	ANONYMOUS, Nuance's Travel Plan Demo, Nuance Communications, 2
	pages, 1999
820	ANONYMOUS, Nuance 6, Nuance Communications, 2 pages, 1999
821	ANONYMOUS, Outline, Grammars in Recognizers, 18 pages, undated
822	NIGEL BURNS, Genesys Labs Presentation, 24 pages, undated
823	ANONYMOUS, Apply Electret Microphones to Voice-input Designs, Gentex
	Corporation, 5 pages, undated
824	ANONYMOUS, Fax regarding Periphonics VPS/is 7XXX/9XXX Product
	Description, 1 page, December 9, 1996
825	ANONYMOUS, New Products, New Features, And New Services,
	Periphonics, 2 pages, undated

Examiner initials	Patent Number	Issue Date	Inventor
	6,246,981	June 12, 2001	PAPINENI et al.

Substitute for form 1449/PTO Page 45 of 45 Application Number: 10/653,039 Filing Date: 08-29-2003 First Named Inventor: Bennett Art Unit: 2626

6,609,089	Aug 19, 2003	BALL et al.	
6,078,886	June 20, 2000	DRAGOSH et al	
7,143,042	Nov 28, 2006	SINAI et al.	
6,311,182	Oct 30, 2001	COLBATH et al.	
6,851,115	Feb 1, 2005	CHEYER et al.	
6,587,822	Jul 1, 2003	BROWN et al.	
6,970,915	Nov 29, 2005	PARTOVI et al.	
6,085,160	Jul 4, 2000	D'HOORE et al	
5.652.789	July 29, 1997	MINER et al.	